

Coefficient Estimates for a General Subclass of Analytic and Bi-Univalent Functions

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Abstract. In this paper, we introduce and investigate an interesting subclass $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ of analytic and bi-univalent functions in the open unit disk \mathbb{U} . For functions belonging to the class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$, we obtain estimates on the first two Taylor-Maclaurin coefficients $|a_2|$ and $|a_3|$. The results presented in this paper would generalize and improve some recent works of Çağlar *et al.* [3], Xu *et al.* [10], and other authors.

1. Introduction

Let $\mathbb{R} = (-\infty, \infty)$ be the set of real numbers, \mathbb{C} be the set of complex numbers and

$$\mathbb{N} := \{1, 2, 3, \dots\} = \mathbb{N}_0 \setminus \{0\}$$

be the set of positive integers.

Let \mathcal{A} denote the class of all functions of the form:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \tag{1}$$

which are analytic in the open unit disk

$$\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}.$$

We also denote by \mathcal{S} the class of all functions in the normalized analytic function class \mathcal{A} which are univalent in \mathbb{U} .

It is well known that every function $f \in \mathcal{S}$ has an inverse f^{-1} , which is defined by

$$f^{-1}(f(z)) = z \quad (z \in \mathbb{U})$$

2010 *Mathematics Subject Classification.* Primary 30C45; Secondary 30C50

Keywords. Analytic functions; Univalent functions; Bi-univalent functions; Taylor-Maclaurin series expansion; Coefficient bounds and coefficient estimates; Taylor-Maclaurin coefficients

Received: 26 September 2012; Accepted: 21 March 2013

Communicated by Miodrag Mateljević

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and

$$f(f^{-1}(w)) = w \quad \left(|w| < r_0(f); r_0(f) \geq \frac{1}{4} \right).$$

In fact, the inverse function f^{-1} is given by

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots .$$

A function $f \in \mathcal{A}$ is said to be bi-univalent in \mathbb{U} if both f and f^{-1} are univalent in \mathbb{U} . Let Σ denote the class of bi-univalent functions in \mathbb{U} given by (1). For a brief history and interesting examples of functions in the class Σ , see [8] (see also [1]). In fact, the aforesaid work of Srivastava *et al.* [8] essentially revived the investigation of various subclasses of the bi-univalent function class Σ in recent years; it was followed by such works as those by Frasin and Aouf [4], Xu *et al.* [9, 10], Hayami and Owa [6], and others (see, for example, [5], [7] and [11]).

Recently, Çağlar *et al.* [3] introduced the following two subclasses of the bi-univalent function class Σ and obtained non-sharp estimates on the first two Taylor-Maclaurin coefficients $|a_2|$ and $|a_3|$ of functions in each of these subclasses (see also [4] and [10]). It should be mentioned in passing that the functional expression used in the inequalities in (2) and (7) of Definitions 1 and 2 is precisely the same as that used by Zhu [12] for investigating various extensions, generalizations and improvements of the starlikeness criteria which were proven by earlier authors (see, for details, Remark 1 below).

Definition 1. (see [3]) A function $f(z)$ given by (1) is said to be in the class $\mathcal{N}_{\Sigma}^{\mu}(\alpha, \lambda)$ if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad \left| \arg \left((1 - \lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) \right| < \frac{\alpha\pi}{2} \tag{2}$$

$$(0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0; z \in \mathbb{U})$$

and

$$\left| \arg \left((1 - \lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) \right| < \frac{\alpha\pi}{2} \tag{3}$$

$$(0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0; w \in \mathbb{U}),$$

where the function g is given by

$$g(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots . \tag{4}$$

Theorem 1. (see [3]) Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the class

$$\mathcal{N}_{\Sigma}^{\mu}(\alpha, \lambda) \quad (0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0).$$

Then

$$|a_2| \leq \frac{2\alpha}{\sqrt{(\lambda + \mu)^2 + \alpha(\mu + 2\lambda - \lambda^2)}} \tag{5}$$

and

$$|a_3| \leq \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha}{2\lambda + \mu}. \tag{6}$$

Definition 2. (see [3]) A function $f(z)$ given by (1) is said to be in the class $\mathcal{N}_\Sigma^\mu(\beta, \lambda)$ if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad \Re \left((1 - \lambda) \left(\frac{f(z)}{z} \right)^\mu + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) > \beta \tag{7}$$

$$(0 \leq \beta < 1; \lambda \geq 1; \mu \geq 0; z \in \mathbb{U})$$

and

$$\Re \left((1 - \lambda) \left(\frac{g(w)}{w} \right)^\mu + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) > \beta \tag{8}$$

$$(0 \leq \beta < 1; \lambda \geq 1; \mu \geq 0; w \in \mathbb{U}),$$

where the function g is defined by (4).

Remark 1. For functions $f(z)$, which are analytic in \mathbb{U} and normalized by

$$f(z) = z + \sum_{k=n+1}^{\infty} a_k z^k \quad (n \in \mathbb{N}),$$

Zhu [12] determined the conditions on the parameters M, α, λ and μ such that the following inequality:

$$\left| (1 - \lambda) \left(\frac{f(z)}{z} \right)^\mu + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} - 1 \right| < M$$

implies that the so-normalized function $f(z)$ is in the corresponding class of starlike functions of order α ($0 \leq \alpha < 1$). Interestingly, the functional expression used by Zhu [12] is precisely the same as that used in the inequalities in (2) and (7) above. The work of Zhu [12] provided extensions, generalizations and improvements of the various starlikeness criteria which were proven by a number of earlier authors (see, for details, [12]).

Theorem 2. (see [3]) Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the class

$$\mathcal{N}_\Sigma^\mu(\beta, \lambda) \quad (0 \leq \beta < 1; \lambda \geq 1; \mu \geq 0).$$

Then

$$|a_2| \leq \min \left\{ \sqrt{\frac{4(1-\beta)}{(\mu+1)(2\lambda+\mu)'}} \frac{2(1-\beta)}{\lambda+\mu} \right\} \tag{9}$$

and

$$|a_3| \leq \begin{cases} \min \left\{ \frac{4(1-\beta)}{(\mu+1)(2\lambda+\mu)'} \frac{4(1-\beta)^2}{(\lambda+\mu)^2} + \frac{2(1-\beta)}{2\lambda+\mu} \right\} & (0 \leq \mu < 1) \\ \frac{2(1-\beta)}{2\lambda+\mu} & (\mu \geq 1). \end{cases} \tag{10}$$

Remark 2. The following special cases of Definitions 1 and 2 are worthy of note:

(i) For $\mu = 1$, we obtain the bi-univalent function classes

$$\mathcal{N}_\Sigma^1(\alpha, \lambda) = \mathcal{B}_\Sigma(\alpha, \lambda) \quad \text{and} \quad \mathcal{N}_\Sigma^1(\beta, \lambda) = \mathcal{B}_\Sigma(\beta, \lambda)$$

introduced by Frasin and Aouf [4].

(ii) For $\mu = 1$ and $\lambda = 1$, we have the bi-univalent function classes

$$\mathcal{N}_{\Sigma}^1(\alpha, 1) = \mathcal{H}_{\Sigma}^{\alpha} \quad \text{and} \quad \mathcal{N}_{\Sigma}^1(\beta, 1) = \mathcal{H}_{\Sigma}(\beta)$$

introduced by Srivastava *et al.* [8].

(iii) For $\mu = 0$ and $\lambda = 1$, we get the well-known classes

$$\mathcal{N}_{\Sigma}^0(\alpha, 1) = \mathcal{S}_{\Sigma}^*[\alpha] \quad \text{and} \quad \mathcal{N}_{\Sigma}^0(\beta, 1) = \mathcal{S}_{\Sigma}^*(\beta)$$

of strongly bi-starlike functions of order α and of bi-starlike functions of order β , respectively.

This paper is essentially a sequel to some of the aforementioned works (especially see [3] and [10]). Here we introduce and investigate the general subclass $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ ($\lambda \geq 1; \mu \geq 0$) of the analytic function class \mathcal{A} , which is given by Definition 3 below.

Definition 3. Let the functions $h, p : \mathbb{U} \rightarrow \mathbb{C}$ be so constrained that

$$\min \{ \Re(h(z)), \Re(p(z)) \} > 0 \quad (z \in \mathbb{U}) \quad \text{and} \quad h(0) = p(0) = 1.$$

Also let the function f , defined by (1), be in the analytic function class \mathcal{A} . We say that

$$f \in \mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu) \quad (\lambda \geq 1; \mu \geq 0)$$

if the following conditions are satisfied:

$$f \in \Sigma \quad \text{and} \quad (1 - \lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \in h(\mathbb{U}) \quad (z \in \mathbb{U}) \tag{11}$$

and

$$(1 - \lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \in p(\mathbb{U}) \quad (w \in \mathbb{U}), \tag{12}$$

where the function g is defined by (4).

We note that the class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ reduces to the function classes $\mathcal{B}_{\Sigma}^{h,p}(\lambda)$ and $\mathcal{H}_{\Sigma}^{h,p}$ given by

$$\mathcal{B}_{\Sigma}^{h,p}(\lambda) = \mathcal{N}_{\Sigma}^{h,p}(\lambda, 1),$$

$$\mathcal{B}_{\Sigma}^{h,p} = \mathcal{N}_{\Sigma}^{h,p}(1, 0)$$

and

$$\mathcal{H}_{\Sigma}^{h,p} = \mathcal{N}_{\Sigma}^{h,p}(1, 1),$$

respectively, each of which was introduced and studied recently by Xu *et al.* [10], Bulut [2] and Xu *et al.* [9], respectively.

Remark 3. There are many choices of the functions $h(z)$ and $p(z)$ which would provide interesting subclasses of the analytic function class \mathcal{A} . For example, if we let

$$h(z) = p(z) = \left(\frac{1+z}{1-z} \right)^{\alpha} \quad (0 < \alpha \leq 1; z \in \mathbb{U}) \tag{13}$$

or

$$h(z) = p(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \quad (0 \leq \beta < 1; z \in \mathbb{U}), \tag{14}$$

it is easy to verify that the functions $h(z)$ and $p(z)$ satisfy the hypotheses of Definition 3. If $f \in \mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$, then

$$f \in \Sigma \quad \text{and} \quad \left| \arg \left((1 - \lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) \right| < \frac{\alpha\pi}{2} \tag{15}$$

$$(0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0; z \in \mathbb{U})$$

and

$$\left| \arg \left((1 - \lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) \right| < \frac{\alpha\pi}{2} \tag{16}$$

$$(0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0; w \in \mathbb{U})$$

or

$$f \in \Sigma \quad \text{and} \quad \Re \left((1 - \lambda) \left(\frac{f(z)}{z} \right)^{\mu} + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} \right) > \beta \tag{17}$$

$$(0 \leq \beta < 1; \lambda \geq 1; \mu \geq 0; z \in \mathbb{U})$$

and

$$\Re \left((1 - \lambda) \left(\frac{g(w)}{w} \right)^{\mu} + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} \right) > \beta \tag{18}$$

$$(0 \leq \beta < 1; \lambda \geq 1; \mu \geq 0; w \in \mathbb{U}),$$

where the function g is defined by (4). This means that

$$f \in \mathcal{N}_{\Sigma}^{\mu}(\alpha, \lambda) \quad (0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0)$$

or

$$f \in \mathcal{N}_{\Sigma}^{\mu}(\beta, \lambda) \quad (0 \leq \beta < 1; \lambda \geq 1; \mu \geq 0).$$

Our paper is motivated and stimulated especially by the works of Çağlar *et al.* [3] and Xu *et al.* [10]. Here we propose to investigate the bi-univalent function class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ introduced in Definition 3 and derive coefficient estimates on the first two Taylor-Maclaurin coefficients $|a_2|$ and $|a_3|$ for a function $f \in \mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ given by (1). Our results for the bi-univalent function class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ would generalize and improve the related works of Çağlar *et al.* [3] and Xu *et al.* [10] (see also [4] and [8]).

2. A Set of General Coefficient Estimates

In this section, we state and prove our general results involving the bi-univalent function class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ given by Definition 3.

Theorem 3. Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the function class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$. Then

$$|a_2| \leq \min \left\{ \sqrt{\frac{|h'(0)|^2 + |p'(0)|^2}{2(\lambda + \mu)^2}}, \sqrt{\frac{|h''(0)| + |p''(0)|}{2(\mu + 1)(2\lambda + \mu)}} \right\} \tag{19}$$

and

$$|a_3| \leq \min \left\{ \frac{|h'(0)|^2 + |p'(0)|^2}{2(\lambda + \mu)^2} + \frac{|h''(0)| + |p''(0)|}{4(2\lambda + \mu)}, \frac{(3 + \mu)|h''(0)| + |1 - \mu| |p''(0)|}{4(\mu + 1)(2\lambda + \mu)} \right\}. \tag{20}$$

Proof. First of all, we write the argument inequalities in (11) and (12) in their equivalent forms as follows:

$$(1 - \lambda) \left(\frac{f(z)}{z} \right)^\mu + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1} = h(z) \quad (z \in \mathbb{U}),$$

and

$$(1 - \lambda) \left(\frac{g(w)}{w} \right)^\mu + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1} = p(w) \quad (w \in \mathbb{U}),$$

respectively, where $h(z)$ and $p(w)$ satisfy the conditions of Definition 3. Furthermore, the functions $h(z)$ and $p(w)$ have the following Taylor-Maclaurin series expansions:

$$h(z) = 1 + h_1z + h_2z^2 + \dots$$

and

$$p(w) = 1 + p_1w + p_2w^2 + \dots,$$

respectively. Now, upon equating the coefficients of

$$(1 - \lambda) \left(\frac{f(z)}{z} \right)^\mu + \lambda f'(z) \left(\frac{f(z)}{z} \right)^{\mu-1}$$

with those of $h(z)$ and the coefficients of

$$(1 - \lambda) \left(\frac{g(w)}{w} \right)^\mu + \lambda g'(w) \left(\frac{g(w)}{w} \right)^{\mu-1}$$

with those of $p(w)$, we get

$$(\lambda + \mu) a_2 = h_1, \tag{21}$$

$$(2\lambda + \mu) a_3 + (\mu - 1) \left(\lambda + \frac{\mu}{2} \right) a_2^2 = h_2, \tag{22}$$

$$-(\lambda + \mu) a_2 = p_1 \tag{23}$$

and

$$-(2\lambda + \mu) a_3 + (\mu + 3) \left(\lambda + \frac{\mu}{2} \right) a_2^2 = p_2. \tag{24}$$

From (21) and (23), we obtain

$$h_1 = -p_1 \tag{25}$$

and

$$2(\lambda + \mu)^2 a_2^2 = h_1^2 + p_1^2. \tag{26}$$

Also, from (22) and (24), we find that

$$(\mu + 1)(2\lambda + \mu) a_2^2 = h_2 + p_2. \tag{27}$$

Therefore, we find from the equations (26) and (27) that

$$|a_2|^2 \leq \frac{|h'(0)|^2 + |p'(0)|^2}{2(\lambda + \mu)^2}$$

and

$$|a_2|^2 \leq \frac{|h''(0)| + |p''(0)|}{2(\mu + 1)(2\lambda + \mu)},$$

respectively. So we get the desired estimate on the coefficient $|a_2|$ as asserted in (19).

Next, in order to find the bound on the coefficient $|a_3|$, we subtract (24) from (22). We thus get

$$2(2\lambda + \mu) a_3 - 2(2\lambda + \mu) a_2^2 = h_2 - p_2. \tag{28}$$

Upon substituting the value of a_2^2 from (26) into (28), it follows that

$$a_3 = \frac{h_1^2 + p_1^2}{2(\lambda + \mu)^2} + \frac{h_2 - p_2}{2(2\lambda + \mu)}.$$

We thus find that

$$|a_3| \leq \frac{|h'(0)|^2 + |p'(0)|^2}{2(\lambda + \mu)^2} + \frac{|h''(0)| + |p''(0)|}{4(2\lambda + \mu)}. \tag{29}$$

On the other hand, upon substituting the value of a_2^2 from (27) into (28), it follows that

$$a_3 = \frac{(3 + \mu)h_2 + (1 - \mu)p_2}{2(\mu + 1)(2\lambda + \mu)}.$$

Consequently, we have

$$|a_3| \leq \frac{(3 + \mu)|h''(0)| + |1 - \mu| |p''(0)|}{4(\mu + 1)(2\lambda + \mu)}. \tag{30}$$

This evidently completes the proof of Theorem 3. \square

3. Corollaries and Consequences

By setting $\mu = 1$ in Theorem 3, we get Corollary 1 below.

Corollary 1. Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class $\mathcal{B}_{\Sigma}^{h,p}(\lambda)$ ($\lambda \geq 1$). Then

$$|a_2| \leq \min \left\{ \sqrt{\frac{|h'(0)|^2 + |p'(0)|^2}{2(1+\lambda)^2}}, \sqrt{\frac{|h''(0)| + |p''(0)|}{4(1+2\lambda)}} \right\} \quad (31)$$

and

$$|a_3| \leq \min \left\{ \frac{|h'(0)|^2 + |p'(0)|^2}{2(1+\lambda)^2} + \frac{|h''(0)| + |p''(0)|}{4(1+2\lambda)}, \frac{|h''(0)|}{2(1+2\lambda)} \right\}. \quad (32)$$

Remark 4. Corollary 1 is an improvement of the following estimates obtained by Xu et al. [10].

Corollary 2. (see [10]) Suppose that $f(z)$ given by its Taylor-Maclaurin series expansion (1) is in the function class $\mathcal{B}_{\Sigma}^{h,p}(\lambda)$ ($\lambda \geq 1$). Then

$$|a_2| \leq \sqrt{\frac{|h''(0)| + |p''(0)|}{4(1+2\lambda)}} \quad (33)$$

and

$$|a_3| \leq \frac{|h''(0)|}{2(1+2\lambda)}. \quad (34)$$

By setting $\mu = 1$ and $\lambda = 1$ in Theorem 3, we get the following consequence.

Corollary 3. Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the function class $\mathcal{H}_{\Sigma}^{h,p}$. Then

$$|a_2| \leq \min \left\{ \sqrt{\frac{|h'(0)|^2 + |p'(0)|^2}{8}}, \sqrt{\frac{|h''(0)| + |p''(0)|}{12}} \right\} \quad (35)$$

and

$$|a_3| \leq \min \left\{ \frac{|h'(0)|^2 + |p'(0)|^2}{8} + \frac{|h''(0)| + |p''(0)|}{12}, \frac{|h''(0)|}{6} \right\}. \quad (36)$$

Remark 5. Corollary 3 is an improvement of the following estimates obtained by Xu et al. [9].

Corollary 4. (see [9]) Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the function class $\mathcal{H}_{\Sigma}^{h,p}$. Then

$$|a_2| \leq \sqrt{\frac{|h''(0)| + |p''(0)|}{12}} \quad (37)$$

and

$$|a_3| \leq \frac{|h''(0)|}{6}. \tag{38}$$

Remark 6. By setting $\mu = 0$ and $\lambda = 1$ in Theorem 3, we get [2, Theorem 2.1].

If we set

$$h(z) = p(z) = \left(\frac{1+z}{1-z}\right)^\alpha \quad (0 < \alpha \leq 1; z \in \mathbb{U})$$

in Theorem 3, we can readily deduce Corollary 5.

Corollary 5. Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class

$$\mathcal{N}_\Sigma^H(\alpha, \lambda) \quad (0 < \alpha \leq 1; \lambda \geq 1; \mu \geq 0).$$

Then

$$|a_2| \leq \begin{cases} \frac{2\alpha}{\lambda + \mu} & (\lambda \geq 1 + \sqrt{1 + \mu}) \\ \frac{2\alpha}{\sqrt{(\mu + 1)(2\lambda + \mu)}} & (1 \leq \lambda < 1 + \sqrt{1 + \mu}). \end{cases} \tag{39}$$

and

$$|a_3| \leq \begin{cases} \min \left\{ \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha^2}{2\lambda + \mu}, \frac{4\alpha^2}{(\mu + 1)(2\lambda + \mu)} \right\} & (0 \leq \mu < 1) \\ \frac{2\alpha^2}{2\lambda + \mu} & (\mu \geq 1). \end{cases} \tag{40}$$

Remark 7. It is easy to see, for the coefficient $|a_2|$, that

$$\frac{2\alpha}{\lambda + \mu} \leq \frac{2\alpha}{\sqrt{(\lambda + \mu)^2 + \alpha(\mu + 2\lambda - \lambda^2)}}$$

$$(0 < \alpha \leq 1; \lambda \geq 1 + \sqrt{1 + \mu}; \mu \geq 0)$$

and

$$\frac{2\alpha}{\sqrt{(\mu + 1)(2\lambda + \mu)}} \leq \frac{2\alpha}{\sqrt{(\lambda + \mu)^2 + \alpha(\mu + 2\lambda - \lambda^2)}}$$

$$(0 < \alpha \leq 1; 1 \leq \lambda < 1 + \sqrt{1 + \mu}; \mu \geq 0).$$

On the other hand, for the coefficient $|a_3|$, we make the following observations:

(i) If $0 \leq \mu < 1$ and

$$\min \left\{ \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha^2}{2\lambda + \mu}, \frac{4\alpha^2}{(\mu + 1)(2\lambda + \mu)} \right\} = \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha^2}{2\lambda + \mu},$$

then

$$\frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha^2}{2\lambda + \mu} \leq \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha}{2\lambda + \mu} \quad (0 < \alpha \leq 1; \lambda \geq 1);$$

(ii) If $0 \leq \mu < 1$ and

$$\min \left\{ \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha^2}{2\lambda + \mu}, \frac{4\alpha^2}{(\mu + 1)(2\lambda + \mu)} \right\} = \frac{4\alpha^2}{(\mu + 1)(2\lambda + \mu)},$$

then

$$\begin{aligned} \frac{4\alpha^2}{(\mu + 1)(2\lambda + \mu)} &\leq \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha^2}{2\lambda + \mu} \\ &\leq \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha}{2\lambda + \mu} \quad (0 < \alpha \leq 1; \lambda \geq 1); \end{aligned}$$

(iii) If $\mu \geq 1$, then

$$\begin{aligned} \frac{2\alpha^2}{2\lambda + \mu} &\leq \frac{2\alpha}{2\lambda + \mu} \\ &\leq \frac{4\alpha^2}{(\lambda + \mu)^2} + \frac{2\alpha}{2\lambda + \mu} \quad (0 < \alpha \leq 1; \lambda \geq 1). \end{aligned}$$

Thus, clearly, Corollary 5 is an improvement of Theorem 1.

By setting $\mu = 1$ in Corollary 5, we obtain the following consequence.

Corollary 6. *Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class*

$$\mathcal{B}_\Sigma(\alpha, \lambda) \quad (0 < \alpha \leq 1; \lambda \geq 1).$$

Then

$$|a_2| \leq \begin{cases} \frac{2\alpha}{\lambda + 1} & (\lambda \geq 1 + \sqrt{2}) \\ \sqrt{\frac{2}{2\lambda + 1}} \alpha & (1 \leq \lambda < 1 + \sqrt{2}). \end{cases} \quad (41)$$

and

$$|a_3| \leq \frac{2\alpha^2}{2\lambda + 1}. \quad (42)$$

Remark 8. Corollary 6 provides an improvement of the following estimates obtained by Frasin and Aouf [4].

Corollary 7. (see [4]) *Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class*

$$\mathcal{B}_\Sigma(\alpha, \lambda) \quad (0 < \alpha \leq 1; \lambda \geq 1).$$

Then

$$|a_2| \leq \frac{2\alpha}{\sqrt{(\lambda + 1)^2 + \alpha(1 + 2\lambda - \lambda^2)}} \tag{43}$$

and

$$|a_3| \leq \frac{4\alpha^2}{(\lambda + 1)^2} + \frac{2\alpha}{2\lambda + 1}. \tag{44}$$

By setting $\mu = 1$ and $\lambda = 1$ in Corollary 5, we get the following consequence.

Corollary 8. Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class $\mathcal{H}_\Sigma^\alpha$ ($0 < \alpha \leq 1$). Then

$$|a_2| \leq \sqrt{\frac{2}{3}} \alpha \tag{45}$$

and

$$|a_3| \leq \frac{2\alpha^2}{3}. \tag{46}$$

Remark 9. Corollary 8 is an improvement of the following estimates which were given by Srivastava et al. [8].

Corollary 9. (see [8]) Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class $\mathcal{H}_\Sigma^\alpha$ ($0 < \alpha \leq 1$). Then

$$|a_2| \leq \sqrt{\frac{2}{\alpha + 2}} \alpha \tag{47}$$

and

$$|a_3| \leq \frac{\alpha(3\alpha + 2)}{3}. \tag{48}$$

By setting $\mu = 0$ and $\lambda = 1$ in Corollary 5, we get the following consequence.

Corollary 10. Let the function $f(z)$ given by the Taylor-Maclaurin series expansion (1) be in the bi-univalent function class $\mathcal{S}_\Sigma^*[\alpha]$ ($0 < \alpha \leq 1$). Then

$$|a_2| \leq \sqrt{2} \alpha \tag{49}$$

and

$$|a_3| \leq 2\alpha^2. \tag{50}$$

Remark 10. If we set

$$h(z) = p(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \quad (0 \leq \beta < 1; \lambda \geq 1; z \in \mathbb{U}) \tag{51}$$

in Theorem 3, we can readily deduce Theorem 2.

Remark 11. The aforecited work by Çağlar et al. [3] contains several interesting *further* special cases and consequences of Theorem 2, which we have generalized here by means of Theorem 3 (see Remark 3). The reader will find each of these *further* special cases and consequences of Theorem 2, too, to be motivatingly interesting.

4. Concluding Remarks and Observations

In our present investigation, we have considered an interesting subclass $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ of analytic and bi-univalent functions in the open unit disk \mathbb{U} . We have derived estimates on the first two Taylor-Maclaurin coefficients $|a_2|$ and $|a_3|$ for functions belonging to the class $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$. By means of corollaries and consequences which we discussed in the preceding section by suitably specializing the functions $h(z)$ and $p(z)$ (and also the parameters λ and μ), we have also shown already that the results presented in this paper would generalize and improve some recent works of Çağlar et al. [3], Xu et al. [10], and other authors.

Finally, our motivation for introducing the subclass $\mathcal{N}_{\Sigma}^{h,p}(\lambda, \mu)$ of analytic and bi-univalent functions in the open unit disk \mathbb{U} in Definition 3 is motivated at least partially by the work of Zhu [12] who provided extensions, generalizations and improvements of the various starlikeness criteria which were proven by a number of earlier authors (see, for details, Remark 1).

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