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# Weyl Type Theorems for Complex Symmetric Operator Matrices

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**Abstract.** In this paper, we study Weyl type theorems for complex symmetric operator matrices. In particular, we give a necessary and sufficient condition for complex symmetric operator matrices to satisfy *a*-Weyl's theorem. Moreover, we also provide the conditions for such operator matrices to satisfy generalized *a*-Weyl's theorem and generalized *a*-Browder's theorem, respectively. As some applications, we give various examples of such operator matrices which satisfy Weyl type theorems.

### 1. Introduction

Let  $\mathcal{H}$  be an infinite dimensional separable Hilbert space and let  $\mathcal{L}(\mathcal{H})$  denote the algebra of bounded linear operators acting on  $\mathcal{H}$ . If  $T \in \mathcal{L}(\mathcal{H})$ , we write  $\sigma(T)$ ,  $\sigma_p(T)$ ,  $\sigma_s(T)$ , and  $\sigma_a(T)$  for the spectrum, the point spectrum, the surjective spectrum, and the approximate point spectrum of T, respectively.

If  $T \in \mathcal{L}(\mathcal{H})$ , we shall write N(T) and R(T) for the null space and the range of T, respectively. Also, let  $\alpha(T) := \dim N(T)$  and  $\beta(T) := \dim N(T^*)$ , respectively. For  $T \in \mathcal{L}(\mathcal{H})$ , the smallest nonnegative integer p such that  $N(T^p) = N(T^{p+1})$  is called the *ascent* of T and denoted by p(T). If no such integer exists, we set  $p(T) = \infty$ . The smallest nonnegative integer q such that  $R(T^q) = R(T^{q+1})$  is called the *descent* of T and denoted by q(T). If no such integer exists, we set  $p(T) = \infty$ .

A *conjugation* on  $\mathcal{H}$  is an antilinear operator  $C : \mathcal{H} \to \mathcal{H}$  which satisfies  $\langle Cx, Cy \rangle = \langle y, x \rangle$  for all  $x, y \in \mathcal{H}$ and  $C^2 = I$ . For any conjugation C, there is an orthonormal basis  $\{e_n\}_{n=0}^{\infty}$  for  $\mathcal{H}$  such that  $Ce_n = e_n$  for all n (see [7] for more details). An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be *complex symmetric* if there exists a conjugation C on  $\mathcal{H}$  such that  $T = CT^*C$ . In this case, we say that T is complex symmetric with conjugation C. This concept is due to the fact that T is a complex symmetric operator if and only if it is unitarily equivalent to a symmetric matrix with complex entries, regarded as an operator acting on an  $l^2$ -space of the appropriate dimension (see [7]). All normal operators, Hankel matrices, finite Toeplitz matrices, all truncated Toeplitz operators,

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and some Volterra integration operators are included in the class of complex symmetric operators. We refer the reader to [7]-[9] for more details.

The Weyl type theorems for upper triangular operator matrices have been studied by many authors. In general, even though Weyl type theorems hold for entry operators  $T_1$  and  $T_2$ , neither  $\begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}$  nor  $\begin{pmatrix} T_1 & T_3 \\ 0 & T_2 \end{pmatrix}$  satisfies Weyl type theorems (see [10], [11], [13], [14], [3], and ect.). So many authors have been studied the relation between a diagonal matrix and an upper triangular operator matrix of Weyl type theorems.

Recently, in [17], they provide several forms of complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ T_3 & T_4 \end{pmatrix}$  and have

studied *a*-Weyl's theorem and *a*-Browder's theorem for complex symmetric operator matrices  $\begin{pmatrix} A & B \\ 0 & CA^*C \end{pmatrix}$ 

We now consider how Weyl type theorems hold for upper triangular operator matrices when some entry operators are complex symmetric.

In this paper, we focus on the operator matrix  $\begin{pmatrix} A & B \\ 0 & CA^*C \end{pmatrix} \in \mathcal{L}(\mathcal{H} \oplus \mathcal{H})$  when *B* is complex symmetric

with the conjugation *C*. In this case, we are interested in which the operator matrix  $\begin{pmatrix} A & B \\ 0 & CA^*C \end{pmatrix}$  satisfies Weyl type theorems under what behavior of the entry operator *A*. In particular, we give a necessary and sufficient condition for this complex symmetric operator matrices to satisfy *a*-Weyl's theorem. Moreover, we also provide the conditions for such operator matrices to satisfy generalized *a*-Weyl's theorem and generalized *a*-Browder's theorem, respectively. As some applications, we give various examples of such operator matrices which satisfy Weyl type theorems.

## 2. Preliminaries

An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *upper semi-Fredholm* if it has closed range and finite dimensional null space and is called *lower semi-Fredholm* if it has closed range and its range has finite co-dimension. If  $T \in \mathcal{L}(\mathcal{H})$ is either upper or lower semi-Fredholm, then *T* is called *semi-Fredholm*, and *index of a semi-Fredholm operator*  $T \in \mathcal{L}(\mathcal{H})$  is defined by

$$i(T) := \alpha(T) - \beta(T).$$

If both  $\alpha(T)$  and  $\beta(T)$  are finite, then *T* is called *Fredholm*. An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *Weyl* if it is Fredholm of index zero and *Browder* if it is Fredholm of finite ascent and descent, respectively. The left essential spectrum  $\sigma_{SF+}(T)$ , the right essential spectrum  $\sigma_{SF-}(T)$ , the essential spectrum  $\sigma_e(T)$ , the Weyl spectrum  $\sigma_w(T)$ , and the Browder spectrum  $\sigma_b(T)$  of  $T \in \mathcal{L}(\mathcal{H})$  are defined as follows;

> $\sigma_{SF+}(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not upper semi-Fredholm}\},\$  $\sigma_{SF-}(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not lower semi-Fredholm}\},\$  $\sigma_e(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Fredholm}\},\$  $\sigma_w(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Weyl}\},\$

and

 $\sigma_b(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Browder}\},\$ 

respectively. Evidently

$$\sigma_{SF+}(T) \cup \sigma_{SF-}(T) = \sigma_e(T) \subseteq \sigma_w(T) \subseteq \sigma_b(T) = \sigma_e(T) \cup \operatorname{acc} \sigma(T)$$

where we write acc  $\Delta$  for the accumulation points of  $\Delta \subseteq \mathbb{C}$ . If we write iso  $\Delta = \Delta \setminus \text{acc } \Delta$ , then we let

$$\pi_{00}(T) := \{\lambda \in \text{iso } \sigma(T) : 0 < \alpha(T - \lambda) < \infty \},\$$

and  $p_{00}(T) := \sigma(T) \setminus \sigma_b(T)$ . We say that Weyl's theorem holds for  $T \in \mathcal{L}(\mathcal{H})$  if  $\sigma(T) \setminus \sigma_w(T) = \pi_{00}(T)$ , and that Browder's theorem holds for  $T \in \mathcal{L}(\mathcal{H})$  if  $\sigma(T) \setminus \sigma_w(T) = p_{00}(T)$ . We recall the definitions of some spectra;

$$\sigma_{ea}(T) := \cap \{ \sigma_a(T+K) : K \in \mathcal{K}(\mathcal{H}) \}$$

is the essential approximate point spectrum, and

$$\sigma_{ab}(T) := \cap \{\sigma_a(T+K) : TK = KT \text{ and } K \in \mathcal{K}(\mathcal{H})\}$$

is the Browder essential approximate point spectrum. We put

 $\pi_{00}^{a}(T) := \{\lambda \in \text{iso } \sigma_{a}(T) : 0 < \alpha(T - \lambda) < \infty\}$ 

and  $p_{00}^a(T) = \sigma_a(T) \setminus \sigma_{ab}(T)$ .

Let  $T \in \mathcal{L}(\mathcal{H})$ . We say that *a*-Browder's theorem holds for T if

$$\sigma_a(T) \setminus \sigma_{ea}(T) = p_{00}^a(T),$$

and *a*-Weyl's theorem holds for T if

$$\sigma_a(T) \setminus \sigma_{ea}(T) = \pi^a_{00}(T).$$

It is known that

*a*-Weyl's theorem  $\implies$  *a*-Browder's theorem  $\implies$  Browder's theorem,

*a*-Weyl's theorem  $\implies$  Weyl's theorem  $\implies$  Browder's theorem.

Let  $T_n = T|_{\mathbb{R}(T^n)}$  for each nonnegative integer n; in particular,  $T_0 = T$ . If  $T_n$  is upper semi-Fredholm for some nonnegative integer n, then T is called a *upper semi-B-Fredholm operator*. In this case, by [4],  $T_m$  is a upper semi-Fredholm operator and  $ind(T_m) = ind(T_n)$  for each  $m \ge n$ . Thus, we can consider the *index* of Tas the index of the semi-Fredholm operator  $T_n$ . Similarly, we define *lower semi-B-Fredholm operators*. We say that  $T \in \mathcal{L}(\mathcal{H})$  is *B-Fredholm* if it is both upper and lower semi-*B*-Fredholm. Let  $SBF_+^-(\mathcal{H})$  be the class of all upper semi-*B*-Fredholm operators such that  $ind(T) \le 0$ , and let

$$\sigma_{SBF_{+}^{-}}(T) := \{\lambda \in \mathbb{C} : T - \lambda \notin SBF_{+}^{-}(\mathcal{H})\}.$$

An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *B*-Weyl if it is *B*-Fredholm of index zero. The *B*-Weyl spectrum  $\sigma_{BW}(T)$  of *T* is defined by

$$\sigma_{BW}(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not a } B\text{-Weyl operator } \}.$$

In addition, we state two spectra as follows;

$$\sigma_{LD}(T) = \{ \lambda \in \mathbb{C} | T - \lambda \notin LD(\mathcal{H}) \},\$$

$$\sigma_{RD}(T) = \{\lambda \in \mathbb{C} | T - \lambda \notin RD(\mathcal{H})\},\$$

where  $LD(\mathcal{H}) = \{T \in \mathcal{H} | p(T) < \infty \text{ and } R(T^{p(T)+1}) \text{ is closed}\}$ , and  $RD(\mathcal{H}) = \{T \in \mathcal{H} | q(T) < \infty \text{ and } R(T^{q(T)}) \text{ is closed}\}$ . The notation  $p_0(T)$  (respectively,  $p_0^a(T)$ ) denotes the set of all poles (respectively, left poles) of *T*, while  $\pi_0(T)$  (respectively,  $\pi_0^a(T)$ ) is the set of all eigenvalues of *T* which is an isolated point in  $\sigma(T)$  (respectively,  $\sigma_a(T)$ ).

Let  $T \in \mathcal{L}(\mathcal{H})$ . We say that

- (i) *T* satisfies generalized Browder's theorem if  $\sigma(T) \setminus \sigma_{BW}(T) = p_0(T)$ ;
- (ii) *T* satisfies generalized a-Browder's theorem if  $\sigma_a(T) \setminus \sigma_{SBF_+}(T) = p_0^a(T)$ ;
- (iii) *T* satisfies generalized Weyl's theorem if  $\sigma(T) \setminus \sigma_{BW}(T) = \pi_0(T)$ ;
- (iv) *T* satisfies generalized a-Weyl's theorem if  $\sigma_a(T) \setminus \sigma_{SBF^-_+}(T) = \pi_0^a(T)$ .

It is known that

## generalized *a*-Weyl's theorem $\implies$ generalized Weyl's theorem

generalized *a*-Browder's theorem  $\implies$  generalized Browder's theorem.

An operator  $T \in \mathcal{L}(\mathcal{H})$  has the *single-valued extension property at*  $\lambda_0 \in \mathbb{C}$  if for every open neighborhood U of  $\lambda_0$  the only analytic function  $f : U \longrightarrow \mathcal{H}$  which satisfies the equation  $(T - \lambda)f(\lambda) = 0$  is the constant function  $f \equiv 0$  on U. The operator T is said to have the *single-valued extension property* if T has the single-valued extension property at every  $\lambda_0 \in \mathbb{C}$ .

### 3. Wyel Type Theorem

In this section, we study Weyl type theorems for complex symmetric operator matrices. In [17], they provide several forms of complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ T_3 & T_4 \end{pmatrix}$ . Indeed, if *C* is a conjugation on  $\mathcal{H}$ , then  $\begin{pmatrix} T_1 & T_2 \\ T_3 & T_4 \end{pmatrix}$  is complex symmetric with  $\begin{pmatrix} C & 0 \\ 0 & C \end{pmatrix}$  if and only if  $T_2$  and  $T_3$  are complex symmetric with a conjugation *C* and  $T_4 = CT_1^*C$ . For example, the complex symmetric operator matrix  $\begin{pmatrix} S^* & 0 \\ 0 & S \end{pmatrix}$  does not satisfy Weyl's theorem where *S* is the unilateral shift on  $\mathcal{H}$ . They also have studied *a*-Weyl's theorem and *a*-Browder's theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ 0 & CT_1^*C \end{pmatrix}$ . In this paper, we study generalized Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ 0 & CT_1^*C \end{pmatrix}$ . In this paper, we study generalized Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ 0 & CT_1^*C \end{pmatrix}$ . In this paper, we study generalized Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ 0 & CT_1^*C \end{pmatrix}$ . In this paper, we study generalized Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ 0 & CT_1^*C \end{pmatrix}$ . In this paper, we study generalized Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ 0 & CT_1^*C \end{pmatrix}$ . In this paper, we study generalized Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices  $\begin{pmatrix} T_1 & T_2 \\ T_3 & CT_1^*C \end{pmatrix}$  where *C* is a conjugation on  $\mathcal{H}$ . Put  $\Delta^* := \{\overline{z} : z \in \Delta\}$  for any set  $\Delta$  in  $\mathbb{C}$ . For our study, we start with the following lemmas.

**Lemma 3.1.** ([17]) If *C* is a conjugation on  $\mathcal{H}$  and  $A \in \mathcal{L}(\mathcal{H})$ , then the following identities hold: (i)  $\sigma(A)^* = \sigma(CAC)$ ,  $\sigma_p(A)^* = \sigma_p(CAC)$ ,  $\sigma_a(A)^* = \sigma_a(CAC)$ , and  $\sigma_s(A) = \sigma_s(CAC)^*$ . (ii)  $\sigma_e(A)^* = \sigma_e(CAC)$ , and  $\sigma_w(A)^* = \sigma_w(CAC)$ .

Remark that if *S* is a complex symmetric operator with the conjugation *C*, then it is known from [16, Lemma 3.5] that *S* has the single-valued extension property if and only if  $S^*$  has. With the similar proof of [16], we have the following lemma.

**Lemma 3.2.** Let C be a conjugation on  $\mathcal{H}$  and  $S \in \mathcal{L}(\mathcal{H})$ . Then S has the single-valued extension property if and only if CSC has.

**Lemma 3.3.** If *C* is a conjugation on  $\mathcal{H}$  and  $A \in \mathcal{L}(\mathcal{H})$ , then the following identities hold: (i)  $\sigma_b(A)^* = \sigma_b(CAC)$  and  $\sigma_D(A)^* = \sigma_D(CAC)$ . (ii)  $\sigma_{LD}(A)^* = \sigma_{LD}(CAC)$  and  $\sigma_{RD}(A) = \sigma_{RD}(CAC)^*$ . (iii)  $\sigma_{BF}(A)^* = \sigma_{BF}(CAC)$  and  $\sigma_{BW}(A)^* = \sigma_{BW}(CAC)$ .

*Proof.* (i) Let  $\lambda \notin \sigma_b(A)^*$ . Then  $A - \overline{\lambda}$  is Fredholm and we can let  $p(A - \overline{\lambda}) = q(A - \overline{\lambda}) = n < \infty$ . By Lemma 3.1(ii), we know that  $CAC - \lambda$  is Fredholm. Now we will prove that  $N((CAC - \lambda)^n) = N((CAC - \lambda)^{n+1})$ . Since  $N((CAC - \lambda)^n) \subseteq N((CAC - \lambda)^{n+1})$ , it suffices to show that  $N((CAC - \lambda)^{n+1}) \subseteq N((CAC - \lambda)^n)$ . If  $x \in N((CAC - \lambda)^{n+1})$ , then  $(CAC - \lambda)^{n+1}x = 0$  yields  $(A - \overline{\lambda})^{n+1}Cx = 0$ . This means that  $Cx \in N((A - \overline{\lambda})^{n+1}) = N((A - \overline{\lambda})^n)$ . Thus  $(A - \overline{\lambda})^n Cx = 0$  and so  $(CAC - \lambda)^n x = 0$ . Therefore,  $x \in N((CAC - \lambda)^n)$ . Hence

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 $N((CAC - \lambda)^n) = N((CAC - \lambda)^{n+1})$ . So  $CAC - \lambda$  has finite ascent. On the other hand, we will show that  $R(CAC - \lambda)^n \subset R(CAC - \lambda)^{n+1}$ . If  $y \in R(CAC - \lambda)^n$ , set  $y = (CAC - \lambda)^n x$  for some  $x \in \mathcal{H}$ . Since

$$Cy = C(CAC - \lambda)^n x = (A - \overline{\lambda})^n Cx \in R(A - \overline{\lambda})^n = R(A - \overline{\lambda})^{n+1},$$

there is  $z \in \mathcal{H}$  such that  $Cy = (A - \overline{\lambda})^{n+1}z$ . Thus

$$y = C(A - \overline{\lambda})^{n+1}z = (CAC - \lambda)^{n+1}Cz \in R(CAC - \lambda)^{n+1},$$

and  $R(CAC - \lambda)^n \subset R(CAC - \lambda)^{n+1}$ . Since the opposite inclusion obviously satisfies,  $CAC - \lambda$  has finite descent. The converse holds using a similar way. Hence  $\sigma_b(A)^* = \sigma_b(CAC)$ . From this, we also know that  $\sigma_D(A)^* = \sigma_D(CAC).$ 

(ii) Since  $\sigma_{RD}(T) = \sigma_{LD}(T^*)^*$  for any  $T \in \mathcal{L}(\mathcal{H})$ , we only consider  $\sigma_{LD}(A)^* = \sigma_{LD}(CAC)$ . From the proof of (i),  $p(A - \overline{\lambda}) < \infty$  if and only if  $p(CAC - \lambda) < \infty$ . Set  $k = p(A - \overline{\lambda}) + 1$ . Then  $p(CAC - \lambda) + 1 = k$ . Assume that  $R((CAC)^k - \lambda))$  is closed. If  $y \in R(A^k - \overline{\lambda})$ , then choose a sequence  $\{x_n\} \subset \mathcal{H}$  such that  $\lim_{n \to \infty} (A^k - \overline{\lambda})x_n = y$ in norm. This gives that

$$Cy = \lim_{n \to \infty} C(A^k - \overline{\lambda})x_n = \lim_{n \to \infty} ((CAC)^k - \lambda)Cx_n.$$

Thus  $Cy \in \overline{R((CAC)^k - \lambda)} = R((CAC)^k - \lambda)$  and so  $y \in R(A^k - \overline{\lambda})$ . Hence  $R(A^k - \overline{\lambda})$  is closed. The reverse implication follows in a similar way. Therefore,  $R(A^{p(A-\overline{\lambda})+1} - \overline{\lambda})$  is closed if and only if  $R((CAC)^{p(CAC-\lambda)+1} - \overline{\lambda})$  $\lambda$ ) is closed. Hence we conclude that  $\sigma_{LD}(A)^* = \sigma_{LD}(CAC)$ .

(iii) Let  $\lambda \notin \sigma_{BF}(CAC)$ . Then, from [4, Theorem 2.7],  $CAC - \lambda = \begin{pmatrix} S & 0 \\ 0 & N \end{pmatrix}$  where *S* is Fredholm and *N* is a nilpotent. Put  $C = \begin{pmatrix} J & 0 \\ 0 & J \end{pmatrix}$  where *J* is a conjugation. Then it follows that  $\overline{\lambda} \notin \sigma_{BF}(A)$ . The reverse implication

follows in a similar method. Hence  $\sigma_{BF}(A)^* = \sigma_{BF}(CAC)$ .

Let  $\lambda \notin \sigma_{BW}(CAC)$ . Then, from [4],  $CAC - \lambda = \begin{pmatrix} S & 0 \\ 0 & N \end{pmatrix}$  where S is Weyl and N is a nilpotent. Put  $C = \begin{pmatrix} J & 0 \\ 0 & J \end{pmatrix}$  where *J* is a conjugation. Then  $A - \overline{\lambda} = C(CAC - \lambda)C = \begin{pmatrix} JSJ & 0 \\ 0 & JNJ \end{pmatrix}$ . Since *S* is Weyl and *N* is a nilpotent, it follows that [S] is Weyl and [N] is a nilpotent. Therefore,  $\overline{\lambda} \notin \sigma_{BW}(A)$  from [4]. The reverse implication follows in a similar method. Hence  $\sigma_{BW}(A)^* = \sigma_{BW}(CAC)$ . So, this completes the proof.

Throughout this paper, for operators  $A, B \in \mathcal{L}(\mathcal{H})$  and a conjugation C on  $\mathcal{H}$ , put  $M(A, B) = \begin{cases} \begin{pmatrix} A & B \\ 0 & CA^*C \end{pmatrix} \in \mathcal{L}(\mathcal{H}) \end{cases}$ 

 $\mathcal{L}(\mathcal{H} \oplus \mathcal{H})$ : *B* is complex symmetric with the conjugation C}. We study *a*-Weyl theorem and generalized *a*-Weyl theorem for complex symmetric operator matrices in M(A, B).

**Theorem 3.4.** Let  $T \in M(A, B)$ . Suppose that A is complex symmetric which has the single-valued extension property.

- (a) Then the following statements are equivalent;
- (i) A satisfies Weyl's theorem.
- (ii) A satisfies a-Weyl's theorem.
- (iii) T satisfies Weyl's theorem.
- (iv) T satisfies a-Weyl's theorem.
- (b) Then the following statements are equivalent;
- (i) A satisfies generalized Weyl's theorem.
- (ii) A satisfies generalized a-Weyl's theorem.
- (iii) T satisfies generalized Weyl theorem.
- (vi) T satisfies generalized a-Weyl theorem.

*Proof.* (a) (i) $\iff$ (ii): Since *A* is complex symmetric, it follows from [17, Lemma 3.22] that  $\sigma(A) = \sigma_a(A)$  and  $\sigma_w(A) = \sigma_{ea}(A)$ . So (i) $\iff$ (ii) is obvious.

(iii) $\iff$ (iv): Since *B* is complex symmetric with the conjugation *C*, it follows that *T* is also complex symmetric with the conjugation  $\begin{pmatrix} 0 & C \\ C & 0 \end{pmatrix}$ . Again by [17],  $\sigma(T) = \sigma_a(T)$  and  $\sigma_w(T) = \sigma_{ea}(T)$ . So (iii) $\iff$ (iv) clearly holds.

(i) $\iff$ (iii) Since *A* is complex symmetric and has the single-valued extension property, it follows from [17] that *A*<sup>\*</sup> has the single-valued extension property. Therefore *CA*<sup>\*</sup>*C* has the single-valued extension property from Lemma 3.2. Hence we get that

$$\sigma_a(T) = \sigma_a(A) \cup \sigma_a(CA^*C) \text{ and } \sigma_e(T) = \sigma_e(A) \cup \sigma_e(CA^*C)$$
(1)

from [22, Page 4-5]. Combining Lemma 3.1 with (1) and [17, Lemma 3.22], we obtain that

$$\begin{cases} \sigma(T) = \sigma_a(T) = \sigma_a(A) \cup \sigma_a(A^*)^* = \sigma(A) \\ \sigma_w(T) = \sigma_e(T) = \sigma_e(A) \cup \sigma_e(A^*)^* = \sigma_e(A) = \sigma_w(A) \end{cases}$$

Now, we show that  $\pi_{00}(T) = \pi_{00}(A)$ . Since  $\sigma(T) = \sigma(A)$ , we only need to show that

$$0 < \alpha(T - \lambda) < \infty \Longleftrightarrow 0 < \alpha(A - \lambda) < \infty,$$

for every  $\lambda \in iso\sigma(T) = iso\sigma(A)$ . Note that from [12] that

$$N(A - \lambda) \oplus \{0\} \subseteq N(T - \lambda)$$
  
$$\subseteq (A - \lambda)^{-1} (B(N(CA^*C - \lambda))) \oplus N(CA^*C - \lambda).$$
(2)

From the first inclusion in (2), we know that  $0 < \alpha(T - \lambda) < \infty \implies 0 < \alpha(A - \lambda) < \infty$ . For the reverse, let  $0 < \alpha(A - \lambda) < \infty$  for  $\lambda \in iso\sigma(A)$ . Since *A* is complex symmetric, it follows from [15, Lemma 4.3] that  $0 < \alpha(A^* - \overline{\lambda}) < \infty$ . Now, we will show that  $\alpha(CA^*C - \lambda) < \infty$ . If  $\alpha(A^* - \overline{\lambda}) = k < \infty$ , then we can choose a linearly independent set  $\{e_1, e_2, \dots, e_k\}$  in  $N(A^* - \overline{\lambda})$ . If  $\sum_{i=1}^k a_i Ce_i = 0$  for  $a_1, a_2, \dots, a_k \in \mathbb{C}$ , then  $0 = C(\sum_{i=1}^k a_i Ce_i) = \sum_{i=1}^k \overline{a_i}e_i$ , and so  $a_i = 0$  for all  $i = 1, 2, \dots, k$ . Thus  $\{Ce_1, Ce_2, \dots, Ce_k\}$  are linearly independent set in  $CN(A^* - \overline{\lambda}) = N(CA^*C - \lambda)$ . Hence  $\alpha(CA^*C - \lambda) = k = \alpha(A^* - \overline{\lambda})$ . Moreover, if  $CA^*C - \lambda$  is injective, then it follows from (2) that  $A - \lambda$  is also injective. This is a contradiction, so that  $0 < \alpha(CA^*C - \lambda)$ . This means that  $0 < \alpha(T - \lambda) < \infty$ . Hence  $\pi_{00}(T) = \pi_{00}(A)$ . Therefore this completes the proof.

(b) (i) $\iff$ (ii) Since *A* is complex symmetric, it follows from [18, Theorem 4.4] that  $\sigma(A) = \sigma_a(A)$  and  $\sigma_{SBF_a}(A) = \sigma_{BW}(A)$ . So this implication is obvious.

(iii) $\iff$ (iv) Since *B* is complex symmetric with the conjugation *C*, it follows that *T* is also complex symmetric with the conjugation  $\begin{pmatrix} 0 & C \\ C & 0 \end{pmatrix}$ . Again by [18],  $\sigma(T) = \sigma_a(T)$  and  $\sigma_{SBF_+}(T) = \sigma_{BW}(T)$ . So this relations is clear.

(i)  $\iff$  (iii) Since *A* is complex symmetric and has the single-valued extension property, it follows that *CA*\**C* has the single-valued extension property from Lemma 3.2 and [17]. Moreover, *T* also has the single-valued extension property. By the proof of Theorem 3.4, we know that  $\sigma(T) = \sigma(A)$ . Now, it suffices to show that  $\sigma_{BW}(T) = \sigma_{BW}(A)$  and  $\pi_0(T) = \pi_0(A)$ . For the first equality, without loss of generality, we let  $0 \notin \sigma_{BW}(T)$ . Then *T* is *B*-Weyl. Since *T* has the single-valued extension property at 0, it follows that *T* is Drazin invertible by [2]. Therefore, *T* has finite ascent and descent.

**Claim** If  $T = \begin{pmatrix} A & B \\ 0 & CA^*C \end{pmatrix}$  has finite descent, then *A* has finite descent.

Let q(T) := k for any positive integer k. We now claim that  $q(CA^*C) = k$ , which we only need to prove that  $R(CA^{*k}C) \subseteq R(CA^{*k+1}C)$ . Let  $z \in R(CA^{*k}C)$ . Then  $z = CA^{*k}Cy$  for some  $y \in \mathcal{H}$ . Since  $T^k(0 \oplus y) \in R(T^{k+1})$ ,

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there exists  $x_0 \oplus y_0 \in \mathcal{H} \oplus \mathcal{H}$  such that

$$\begin{pmatrix} A^k & A^{k-1}B + \dots + BCA^{*k-1}C \\ 0 & CA^{*k}C \end{pmatrix} \begin{pmatrix} 0 \\ y \end{pmatrix} = \begin{pmatrix} A^{k+1} & A^kB + \dots + BCA^{*k}C \\ 0 & CA^{*k+1}C \end{pmatrix} \begin{pmatrix} x_0 \\ y_0 \end{pmatrix}.$$

It follows that

$$(A^{k-1}By + A^{k-2}BCA^*Cy + \dots + BCA^{*k-1}Cy) \oplus CA^{*k}Cy$$
  
=  $(A^{k+1}x_0 + A^kBy_0 + A^{k-1}BCA^*Cy_0 + \dots + BCA^{*k}Cy_0) \oplus CA^{*k+1}Cy_0.$ 

Then  $z = CA^{*k+1}Cy_0 \in R(CA^{*k+1}C)$ . Hence  $R(CA^{*k}C) \subseteq R(CA^{*k+1}C)$  and this implies that  $q(CA^*C) = k < \infty$ . Then  $q(A^*) = k < \infty$  by Lemma 3.3 (i). Since *A* is complex symmetric, it follows from [15, Lemma 4.2] that  $q(A) = k < \infty$ . This completes the proof of this lemma.

Since *T* and *A* have the single-valued extension property,  $\sigma_{BW}(T) = \sigma_D(T)$  and  $\sigma_{BW}(A) = \sigma_D(A)$ . Moreover, since  $\sigma_D(T) \subseteq \sigma_D(A) \cup \sigma_D(CA^*C)$ , it follows that  $\sigma_{BW}(T) = \subset \sigma_{BW}(A)$ . The reverse inclusion is trivial. Hence  $\sigma_{BW}(T) = \sigma_{BW}(A)$ .

For the second equality, it suffices to show that  $\alpha(T - \lambda) > 0$  if and only if  $\alpha(A - \lambda) > 0$ . Since  $\alpha(A - \lambda) > 0$  implies  $\alpha(T - \lambda) > 0$ , we consider the reverse implication. If  $\alpha(T - \lambda) > 0$ , then  $\alpha(A - \lambda) > 0$  or  $\alpha(CA^*C - \lambda) > 0$ . But, since *A* is complex symmetric, we know that  $A - \lambda$  is one-to-one if and only if  $A^* - \overline{\lambda}$  is one-to-one if and only if  $CA^*C - \lambda$  is one-to-one. Hence  $\alpha(A - \lambda) > 0$  and, therefore,  $\pi_0(T) = \pi_0(A)$ . So this completes the proof.  $\Box$ 

Let us recall that the Hilbert Hardy space, denoted by  $H^2$ , consists of all analytic functions f on the open unit disk  $\mathbb{D}$  with the power series representation

$$f(z) = \sum_{n=0}^{\infty} a_n z^n \text{ where } \sum_{n=0}^{\infty} |a_n|^2 < \infty.$$

It is clear that  $H^2 = \overline{\operatorname{span}\{z^n : n = 0, 1, 2, 3, \cdots\}}$ .

For any  $\varphi \in L^{\infty}$ , the *Toeplitz operator*  $T_{\varphi} : H^2 \to H^2$  is defined by the formula

$$T_{\varphi}f = P(\varphi f)$$

for  $f \in H^2$  where *P* denotes the orthogonal projection of  $L^2$  onto  $H^2$ . Let  $C_1$  and  $C_2$  be the conjugations on  $H^2$  given by

$$(C_1 f)(z) = \overline{f(\overline{z})} \text{ and } (C_2 f)(z) = \overline{f(-\overline{z})}$$

for all  $f \in H^2$ , respectively.

**Corollary 3.5.** Let  $C_1$  and  $C_2$  be the conjugations on  $H^2$  given by  $(C_1f)(z) = \overline{f(\overline{z})}$  and  $(C_2f)(z) = \overline{f(-\overline{z})}$  for all  $f \in H^2$ . Suppose that

$$T = \begin{pmatrix} T_{\varphi} & T_{\psi} \\ 0 & C_1 T_{\varphi}^* C_1 \end{pmatrix} \text{ or } T = \begin{pmatrix} T_{\psi} & T_{\varphi} \\ 0 & C_2 T_{\psi}^* C_2 \end{pmatrix}$$

are in  $\mathcal{L}(H^2 \oplus H^2)$  where

$$\begin{cases} \varphi(z) = \varphi_0 + 2\sum_{k=1}^{\infty} \hat{\varphi}(2k) Re\{z^{2k}\} + 2i\sum_{k=1}^{\infty} \hat{\varphi}(2k-1) Im\{z^{2k-1}\} \\ \psi(z) = \psi_0 + 2\sum_{n=1}^{\infty} \hat{\psi}(n) Re\{z^n\}. \end{cases}$$
(3)

If  $T_{\varphi}$  or  $T_{\psi}$  have the single-valued extension property, then T satisfies a-Weyl's theorem.

*Proof.* Suppose that  $\varphi$  and  $\psi$  have the forms in (3). Then, by [19, Corollary 2.6],  $T_{\varphi}$  and  $T_{\psi}$  are complex symmetric with conjugations  $C_2$  and  $C_1$ , respectively. Since  $T_{\varphi}$  satisfies Weyl's theorem by Coburn's theorem, it follows that *T* satisfies *a*-Weyl's theorem from Theorem 3.4.  $\Box$ 

**Example 3.6.** Let *C* be a conjugation on  $l^2(\mathbb{Z})$  given by  $Cx = \overline{x}$  for all *x* and let  $U_1$  and  $U_2$  be bilateral shifts on  $l^2(\mathbb{Z})$ . Then  $\begin{pmatrix} U_1 & U_2 \\ 0 & CU_1^*C \end{pmatrix} \in \mathcal{L}(l^2(\mathbb{Z}) \oplus l^2(\mathbb{Z}))$  satisfies *a*-Weyl's theorem from Theorem 3.4.

**Corollary 3.7.** Let  $T \in M(N, B)$  where N is normal and  $B = CB^*C$  for a conjugation C. Then T satisfies generalized *a*-Weyl theorem.

*Proof.* Since *N* is normal, it follows that *N* is complex symmetric and has the single-valued extension property. Thus *N* satisfies generalized Weyl's theorem. Hence *T* satisfies generalized *a*-Weyl theorem from Theorem 3.4.  $\Box$ 

From the similar way with the proof of Theorem 3.4 and [18, Theorem 4.6], we get the following corollary.

**Corollary 3.8.** Let  $T \in M(A, B)$ . If A is complex symmetric which has the single-valued extension property, then the following statements are equivalent;

(i) A satisfies Browder's theorem.

(ii) A satisfies a-Browder's theorem.

(iii) A satisfies generalized Browder's theorem.

(iv) A satisfies generalized a-Browder's theorem.

(v) *T* satisfies Browder's theorem.

(vi) *T* satisfies a-Browder's theorem.

(vii) *T* satisfies generalized Browder's theorem.

(viii) T satisfies generalized a-Browder's theorem.

Recall that an operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be *isoloid* if every  $\lambda \in iso\sigma(T)$  is an eigenvalue of T. In [17], they proved that if  $T \in M(A, B)$  where A and  $A^*$  are isoloid operators with the single-valued extension property and if Weyl's theorem holds for both A and  $A^*$ , then *a*-Weyl's theorem holds for T. Finally, we consider complex symmetric operator matrices where main diagonal operators are not complex symmetric.

**Theorem 3.9.** Let  $T \in M(A, B)$  where A and  $A^*$  have the single-valued extension property. Then the following statements hold:

(a) If A satisfies generalized Weyl theorem, then T satisfies generalized a-Weyl theorem.

(b) If A is isoloid, then the following statements are equivalent;

(i) A satisfies generalized Weyl theorem.

(ii) A satisfies generalized a-Weyl theorem.

(iii) *T* satisfies generalized Weyl theorem.

(iv) *T* satisfies generalized a-Weyl theorem.

(c) If A is isoloid, then the following statements are equivalent;

(i) *A* and *A*<sup>\*</sup> satisfies Weyl's theorem.

(ii) *T* satisfies Weyl's theorem.

(iii) T satisfies a-Weyl theorem.

*Proof.* (a) Suppose *A* satisfies generalized Weyl theorem. Since *A* and  $A^*$  have the single-valued extension property, *T* has the single-valued extension property from 3.3. Since *T* is complex symmetric, *T* satisfies generalized *a*-Browder's theorem. Then

$$\sigma_a(T) \setminus \sigma_{SBF^-_+}(T) \subseteq \pi_0^a(T).$$

Now we will show the converse inclusion. If  $\lambda \in \pi_0^a(T)$ , then  $\lambda \in \text{iso}\sigma_a(T)$  and  $\alpha(T - \lambda) > 0$ . Since  $\sigma_a(A) \subset \sigma_a(T)$ , it follows that  $\lambda \in \text{iso}\sigma_a(A)$  and  $\alpha(A - \lambda) > 0$ . Moreover, since  $A^*$  has the single-valued extension property,  $\lambda \in \text{iso}\sigma(A)$ . Thus  $\lambda \in \pi_0(A)$ . Since A satisfies generalized Weyl's theorem,  $\lambda \in \sigma(A) \setminus \sigma_{BW}(A)$ .

But, since *A* has the single-valued extension property,  $\sigma_{BW}(A) = \sigma_D(A)$  from [2]. So,  $\lambda \notin \sigma_D(A) = \sigma_D(A^*)^* = \sigma_D(CA^*C)$  from Lemma 3.3. But,  $\sigma_D(T) \subset \sigma_D(A) \cup \sigma_D(CA^*C)$ , so that  $\lambda \notin \sigma_D(T)$ . Since *T* has the single-valued extension property,  $\lambda \notin \sigma_{BW}(T)$ . Hence  $\lambda \notin \sigma_{SBF_{\tau}}(T)$ . So *T* satisfies generalized *a*-Weyl theorem.

(b) Since  $A^*$  has the single-valued extension property, it follows that  $\sigma(A) = \sigma_a(A)$ . The implication (i) $\iff$ (ii) holds clearly. Since *T* is complex symmetric, then (iii) $\iff$ (iv) holds from [18].

(i)  $\iff$  (iii): We will show that if *T* satisfies generalized Weyl theorem, then *A* satisfies generalized Weyl theorem. Let  $\lambda \in \sigma(A) \setminus \sigma_{BW}(A)$ . Since *A* has the single-valued extension property,  $\lambda \notin \sigma_D(A)$ . But,  $\sigma_D(A) = \sigma_D(A^*)^* = \sigma_D(CA^*C)$  from Lemma 3.3 so that  $\lambda \notin \sigma_D(CA^*C)$ . Since  $\sigma_D(T) \subset \sigma_D(A) \cup \sigma_D(CA^*C)$ , it follows that  $\lambda \notin \sigma_D(T) = \sigma_{BW}(T)$ . Then  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T) = \pi_0(T)$ . Hence  $\lambda \in iso\sigma_a(T)$  and  $\alpha(T - \lambda) > 0$ . Since  $\sigma_a(A) \subset \sigma_a(T) = \sigma(T)$ ,  $\lambda \in iso\sigma_a(A)$ . But,  $A^*$  has the single-valued extension property,  $\lambda \in iso\sigma(A)$ . Since *A* is isoloid,  $\alpha(A - \lambda) > 0$  and so  $\lambda \in \pi_0(A)$ .

For the converse inclusion, let  $\lambda \in \pi_0(A)$ . Then  $\lambda \in iso\sigma(A)$  and  $\alpha(A - \lambda) > 0$ . But, Since  $\sigma(A) = \sigma(A^*)^* = \sigma(CA^*C)$  from Lemma 3.1,  $\lambda \in iso\sigma(CA^*C)$ . Since  $\sigma(T) \subset \sigma(A) \cup \sigma(CA^*C)$ ,  $\lambda \in iso\sigma(T)$ . Moreover, we know  $\alpha(T - \lambda) > 0$  implies  $\lambda \in \pi_0(T)$ . Since *T* has generalized Weyl's theorem,  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Moreover, since *T* has the single-valued extension property,  $\lambda \notin \sigma_D(T)$ . Thus  $A - \lambda$  is left Drazin invertible. But  $A^*$  has the single-valued extension property, hence  $A - \lambda$  is Drazin invertible. Therefore,  $\lambda \in \sigma(A) \setminus \sigma_{BW}(A)$ . That is,  $\pi_0(A) \subseteq \sigma(A) \setminus \sigma_{BW}(A)$ . Hence *A* has generalized Weyl theorem.

(c) We only consider (ii) $\Longrightarrow$ (i). We show that Weyl's theorem holds for *T* if and only if Weyl's theorem holds for *A*. Since *A*<sup>\*</sup> has the single-value extension property, it follows from Lemma 3.1 that  $\sigma(T) = \sigma(A)$ . On the other hand, we have  $\sigma_w(T) \subset \sigma_w(A) \cup \sigma_w(CA^*C) = \sigma_w(A)$ . Since the converse inclusion holds,  $\sigma_w(T) = \sigma_w(A)$ . Now, we will show that  $0 < \alpha(T - \lambda) < \infty$  iff  $0 < \alpha(A - \lambda) < \infty$ . Using (2), if  $0 < \alpha(T - \lambda) < \infty$ , then  $0 < \alpha(A - \lambda) < \infty$ . But, since *T* is complex symmetric,  $0 < \alpha(T^* - \overline{\lambda}) < \infty$ . Therefore,  $0 < \alpha(A^* - \overline{\lambda}) < \infty$ . So,  $\pi_0(T) = \pi_0(A)$ . Hence Weyl's theorem holds for *T*<sup>\*</sup> if and only if Weyl's theorem holds for *A*<sup>\*</sup> by similar arguments.  $\Box$ 

**Corollary 3.10.** Let  $T \in M(A, N)$  where A is decomposable and N is normal or nilpotent of order 2 with  $N = CN^*C$ . If A satisfies generalized Weyl's theorem, then T satisfies generalized a-Weyl's theorem.

*Proof.* The proof follows from Theorem 3.9.  $\Box$ 

For  $u \in H^2$  with power series representation  $u(z) = \sum_{n=0}^{\infty} a_n z^n$ , it is well known that  $\lim_{r \to 1^-} u(rz)$  exists for almost every  $z \in \partial \mathbb{D}$ , and so one defines  $\widetilde{u}(e^{i\theta}) := \sum_{n=0}^{\infty} a_n e^{in\theta}$  for almost every  $\theta \in [0, 2\pi)$ . A function  $u \in H^2$  is called *inner* if  $|\widetilde{u}(e^{i\theta})| = 1$  for almost every  $\theta \in [0, 2\pi)$ . For a nonconstant inner function u, the *model space* is given by  $\mathcal{K}_u^2 := H^2 \ominus u H^2$  (see [7] and [21] for more details). For an inner function u and  $\varphi \in L^2$ , the *truncated Toeplitz operator*  $A_{\varphi}^u : \mathcal{K}_u^2 \to \mathcal{K}_u^2$  is the compressed operator of  $T_{\varphi}$  to the space  $\mathcal{K}_u^2$ , that is,

$$A^u_{\varphi} := P_u T_{\varphi} P_u$$

where  $P_u$  denotes the orthogonal projection of  $L^2$  onto  $\mathcal{K}_u^2$ . It is evident that  $A_{\varphi}^u$  is bounded on  $\mathcal{K}_u^2$  whenever  $\varphi \in L^{\infty}$ . Define an antilinear operator C on  $\mathcal{K}_u^2$  by  $Cf = \overline{zfu}$ . It is known from [7] that  $\overline{zfu} \in \mathcal{K}_u^2$  for all  $f \in \mathcal{K}_u^2$  and C is a conjugation operator on  $\mathcal{K}_u^2$ .

**Corollary 3.11.** Let u be a finite Blaschke product with zeros  $a_1, a_2, \dots, a_n$ , i.e.,  $u(z) := \left(\prod_{j=1}^n \frac{a_j-z}{1-\overline{a_j}z}\right)$  for  $a_j \in \mathbb{D}$ . If  $T = \begin{pmatrix} A_{\varphi}^u & A_{\psi}^u \\ 0 & A_{\varphi}^u \end{pmatrix}$  is in  $\mathcal{L}(\mathcal{K}_u^2 \oplus \mathcal{K}_u^2)$  where  $A_{\varphi}^u$  is isoloid, then  $A_{\varphi}^u$  satisfies generalized Weyl theorem if and only if T satisfies generalized a-Weyl theorem.

*Proof.* Suppose that *u* be a finite Blaschke product. Then *u* is inner function and  $\mathcal{K}_{u}^{2}$  is a finite model space. Then  $\sigma(A_{\varphi}^{u})$  is finite and so  $A_{\varphi}^{u}$  has the single-valued extension property by [1]. From [21, Lemma 2.1] or [7, Proposition 3], the truncated Toeplitz operators  $A_{\varphi}^{u}$  and  $A_{\psi}^{u}$  are complex symmetric with the conjugation

 $Cf = \overline{zfu}$  on  $\mathcal{K}_{u}^{2}$ . Moreover, the operator matrix  $\begin{pmatrix} A_{\varphi}^{u} & A_{\psi}^{u} \\ 0 & A_{\varphi}^{u} \end{pmatrix}$  is complex symmetric with the conjugation  $\begin{pmatrix} 0 & C \\ C & 0 \end{pmatrix}$ . Hence the results hold from Theorem 3.9.  $\Box$ 

**Example 3.12.** For  $x \in \mathbb{C}^n$ , define  $C^j(\sum_{i=1}^n \alpha_i e_i) = \sum_{i=1}^n \overline{\alpha_i} e_{n-i+1}$ . Put  $C = \oplus C^j$ . Then *C* is a conjugation on  $\mathcal{H}$  where  $\dim \mathcal{H} = \aleph_0$ . Suppose that *S* is written as  $S = \bigoplus_{j=1}^\infty S_j$  where

$$S_{j} = \begin{pmatrix} 0 & \lambda_{1}^{(j)} & 0 & \cdots & 0 \\ 0 & 0 & \lambda_{2}^{(j)} & \cdots & 0 \\ \cdots & 0 & 0 & \ddots & 0 \\ 0 & 0 & 0 & 0 & \lambda_{n_{j}-1}^{(j)} \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

with respect to an orthonormal basis of  $S_j$  with  $|\lambda_k^{(j)}| = |\lambda_{n_j-k}^{(j)}|$  for all  $1 \le k \le n_j - 1$ . Then *S* is complex symmetric with *C* from [23, Theorem 3.1]. Let *W* be a weighted shift on  $\mathcal{H}$  defined by

$$W = (x_1, x_2, x_3, \cdots) := (\frac{1}{2}x_2, \frac{1}{3}x_3, \frac{1}{4}x_4, \cdots)$$

If  $T = \begin{pmatrix} W^* & S \\ 0 & CWC \end{pmatrix} \in \mathcal{L}(\mathcal{H} \oplus \mathcal{H})$ . Then *T* satisfies generalized *a*-Weyl's theorem. Indeed, since  $\sigma(W^*) = \sigma_{BW}(W^*) = \{0\}$  and  $\pi_0(W^*) = \emptyset$ , it follows that  $W^*$  satisfies generalized Weyl's theorem. Moreover, in this case, *W* and *W*<sup>\*</sup> have the single-valued extension property. Hence *T* satisfies the generalized *a*-Weyl's theorem from Theorem 3.9.

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