Filomat 32:2 (2018), 599–607 https://doi.org/10.2298/FIL1802599S



Published by Faculty of Sciences and Mathematics, University of Niš, Serbia Available at: http://www.pmf.ni.ac.rs/filomat

Trees with Equal Total Domination and 2-Rainbow Domination Numbers

Zehui Shao^a, Seyed Mahmoud Sheikholeslami^b, Bo Wang^c, Pu Wu^a, Xiaosong Zhang^d

^a Institute of Computing Science and Technology, Guangzhou University, Guangzhou 510006, China
 ^bDepartment of Mathematics, Azarbaijan Shahid Madani University, Tabriz, I.R. Iran
 ^cSchool of Information Science and Engineering, Chengdu University, Chengdu, 610106, China
 ^dCenter for Cyber Security, University of Electronic Science and Technology of China, Chengdu 611731, China

Abstract. A 2-rainbow dominating function (2RDF) of a graph *G* is a function $f : V(G) \rightarrow \mathcal{P}(\{1, 2\})$ such that for each $v \in V(G)$ with $f(v) = \emptyset$ we have $\bigcup_{u \in N(v)} f(u) = \{1, 2\}$. For a 2RDF *f* of a graph *G*, the weight w(f) of *f* is defined as $w(f) = \sum_{v \in V(G)} |f(v)|$. The minimum weight over all 2RDFs of *G* is called the 2-rainbow domination number of *G*, which is denoted by $\gamma_{r2}(G)$. A subset *S* of vertices of a graph *G* without isolated vertices, is a total dominating set of *G* if every vertex in V(G) has a neighbor in *S*. The total domination number $\gamma_t(G)$ is the minimum cardinality of a total dominating set of *G*. Chellali, Haynes and Hedetniemi conjectured that $\gamma_t(G) \leq \gamma_{r2}(G)$ [M. Chellali, T.W. Haynes and S.T. Hedetniemi, Bounds on weak Roman and 2-rainbow domination numbers, Discrete Appl. Math. 178 (2014), 27–32.], and later Furuya confirmed the conjecture [M. Furuya, A note on total domination and 2-rainbow domination in graphs, Discrete Appl. Math. 184 (2015), 229–230.]. In this paper, we provide a constructive characterization of trees *T* with $\gamma_{r2}(T) = \gamma_t(T)$.

1. Introduction

In this paper, we shall only consider graphs without multiple edges or loops or isolated vertices. Let *G* be a graph, $S \subseteq V(G)$, $v \in V(G)$, the *open neighborhood* of *v* in *S* is denoted by $N_S(v)$. That is to say $N_S(v) = \{u | uv \in E(G), u \in S\}$. The *closed neighborhood* $N_S[v]$ of *v* in *S* is defined as $N_S[v] = \{v\} \cup N_S(v)$. If S = V(G), then $N_S(v)$ and $N_S[v]$ are denoted by N(v) and N[v], respectively. The degree of *v* is the number of neighbors of *v* and it is denoted by deg(v), i.e. deg(v) = |N(v)|. A *leaf* of *G* is a vertex with degree one in *G* and a vertex that has a leaf neighbor is called a *support vertex*. The set of leaf neighbors of a vertex *v* is denoted by L(v). A *strong support vertex* is a support vertex adjacent to at least two leaves. An *end support vertex* is a support vertex whose all neighbors with exception at most one are leaves. We denote by P_n the path on *n* vertices. A *pendant path P* of a graph *G* is an induced path such that one of end points has degree one in *G*, and its other end point is the only vertex of *P* adjacent to some vertex in *G* – *P*. The *distance* $d_G(u, v)$ between two vertices *u* and *v* in a connected graph *G* is the length of a shortest *uv*-path in *G*. The *diameter* of a graph *G*, denoted by diam(*G*), is the greatest distance between two vertices of *G*. A *double star* is a tree

²⁰¹⁰ Mathematics Subject Classification. 05C69.

Keywords. Total domination, 2-rainbow domination, Tree

Received: 07 February 2017; Revised: 16 November 2017; Accepted: 18 November 2017 Communicated by Francesco Belardo

Email addresses: zshao@gzhu.edu.cn (Zehui Shao), s.m.sheikholeslami@azaruniv.edu (Seyed Mahmoud Sheikholeslami), wangbo547520@163.com (Bo Wang), puwu1997@126.com (Pu Wu), johnsonzxs@uestc.edu.cn (Xiaosong Zhang)

with exactly two vertices that are not leaves. For a vertex v in a rooted tree T, let C(v) denotes the set of children of v, D(v) denotes the set of descendants of v and $D[v] = D(v) \cup \{v\}$. Also, the *depth* of v, depth(v), is the largest distance from v to a vertex in D(v). The *maximal subtree* at v is the subtree of T induced by $D(v) \cup \{v\}$, and is denoted by T_v .

In a graph *G*, a vertex is said to *dominate* all vertices adjacent to it. A *total dominating set* (TDS) in a graph *G* is a subset $S \subseteq V(G)$ such that each vertex in V(G) is *dominated* by at least a vertex in *S*, that is N(S) = V(G). The *total domination number* $\gamma_t(G)$ is the minimum cardinality of a total dominating set of *G*. A TDS with cardinality $\gamma_t(G)$ is called a γ_t -set of *G* (or $\gamma_t(G)$ -set). The total domination number was introduced by Cockayne, Dawes and Hedetniemi [5] and is now well studied in graph theory. The literatures on this subject has been surveyed and detailed in the book by Henning and Yeo [10].

A 2-rainbow dominating function (2RDF) of a graph *G* is a function $f : V(G) \rightarrow \mathcal{P}(\{1, 2\})$ such that for each $v \in V(G)$ with $f(v) = \emptyset$ we have $\bigcup_{u \in N(v)} f(u) = \{1, 2\}$. For a 2RDF *f* of a graph *G*, the weight w(f)of *f* is defined as $w(f) = \sum_{v \in V(G)} |f(v)|$. The minimum weight over all 2RDFs of *G* is called the 2-rainbow domination number of *G*, and is denoted by $\gamma_{r2}(G)$. A 2RDF with weight $\gamma_{r2}(G)$ is called a γ_{r2} -function of *G* or a $\gamma_{r2}(G)$ -function. The rainbow domination number was introduced by Brešar, Henning, and Rall [1] and has been studied by several authors (see for example [3, 6, 11, 13, 14]).

Chellali, Haynes and Hedetniemi [4] investigated difference between many domination-like parameters and they conjectured that $\gamma_t(G) \leq \gamma_{r2}(G)$ for any graph *G* without isolated vertices. Later, Furuya [7] confirmed this conjecture. A natural problem that may arise is the characterization of graphs (or trees) *G* with $\gamma_t(G) = \gamma_{r2}(G)$. In this paper, we provide a constructive characterization of trees *T* with $\gamma_{r2}(T) = \gamma_t(T)$.

We make use of the following results in this paper.

Observation 1.1. ([6]) Let G be a connected graph. If there is a path $v_3v_2v_1$ in G with deg $(v_2) = 2$ and deg $(v_1) = 1$, then G has a $\gamma_{r2}(G)$ -function f such that $f(v_1) = \{1\}$ and $2 \in f(v_3)$.

Observation 1.2. Let *H* be an induced subgraph of a graph *G* such that *G* and *H* have no isolated vertices. If $\gamma_{r2}(H) = \gamma_t(H), \gamma_t(G) \ge \gamma_t(H) + s$ and $\gamma_{r2}(G) \le \gamma_{r2}(H) + s$ for some positive integer *s*, then $\gamma_{r2}(G) = \gamma_t(G)$.

Proof. It follows from the assumptions and the fact $\gamma_t(G) \leq \gamma_{r_2}(G)$ that

$$\gamma_t(G) \ge \gamma_t(H) + s = \gamma_{r2}(H) + s \ge \gamma_{r2}(G) \ge \gamma_t(G)$$

and this leads to the result. \Box

Observation 1.3. Let *H* be an induced subgraph of a graph *G* such that *G* and *H* have no isolated. If $\gamma_{r2}(G) = \gamma_t(G)$, $\gamma_t(G) \le \gamma_t(H) + s$ and $\gamma_{r2}(G) \ge \gamma_{r2}(H) + s$ for some positive integer *s*, then $\gamma_{r2}(H) = \gamma_t(H)$.

Proof. By assumptions and the fact $\gamma_t(H) \leq \gamma_{r2}(H)$, we have

 $\gamma_t(G) \le \gamma_t(H) + s \le \gamma_{r2}(H) + s \le \gamma_{r2}(G) = \gamma_t(G)$

and this leads to the result. \Box

2. Trees with equal total domination and 2-rainbow domination numbers

In this section, we provide a constructive characterization of all trees with $\gamma_t(T) = \gamma_{r2}(T)$. We begin with three definitions.

Definition 2.1. *For a tree* T *and* $v \in V(T)$ *, let*

 $\gamma_t(T, v) = \min\{|S| : S \subseteq V(T) \text{ and each vertex } w \neq v \text{ has a neighbor in } S\}.$

Clearly $\gamma_t(T, v) \leq \gamma_t(v)$ *for each* $v \in V(T)$ *. We define*

 $W_T^1 = \{v | \gamma_t(T, v) = \gamma_t(T)\}.$

Definition 2.2. For a tree T and $v \in V(T)$, we say v has property P in T if there exists a $\gamma_{r2}(T)$ -function f such that $f(v) \neq \emptyset$. Define

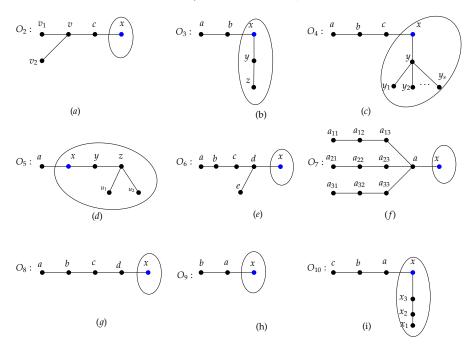
$$W_T^2 = \{v | v \text{ has property } P \text{ in } T\}.$$

Definition 2.3. An extended spider with $t \ (t \ge 2)$ feet is a tree obtained from star $K_{1,t}$ by subdividing every edge of $K_{1,t}$ twice. The center of star is called the head of spider.

In order to presenting our constructive characterization, we define a family of trees as follows. Let \mathcal{T} be the family of trees T that can be obtained from a sequence T_1, T_2, \dots, T_k of trees for some $k \ge 1$, where T_1 is P_2 or P_3 and $T = T_k$. If $k \ge 2$, T_{i+1} can be obtained from T_i by one of the following ten operations.

- **Operation** O_1 : If $x \in V(T_i)$ and x is a strong support vertex, then O_1 adds a vertex y and an edge xy to obtain T_{i+1} .
- **Operation** O_2 : If $x \in W_{T_i}^1$, then O_2 adds a star $K_{1,s}$ ($s \ge 3$) with a leaf c and an edge xc to obtain T_{i+1} (see Fig. 1 (a));
- **Operation** O_3 : If $x \in V(T_i)$ and there is a pendant path *xyz*, then O_3 adds a pendant path *xba* to obtain T_{i+1} (see Fig. 1 (b));
- **Operation** O_4 : If $x \in V(T_i)$ and x is adjacent to the center of a pendant star $K_{1,s}$ ($s \ge 1$), then O_4 adds a pendant path *xcba* to obtain T_{i+1} (see Fig. 1 (c));
- **Operation** O_5 : If T_i contains a strong support vertex z and a pendant path zyx, then O_5 adds a pendant edge xa to obtain T_{i+1} (see Fig. 1 (d));
- **Operation** O_6 : If $x \in W_{T_i}^1$, then O_6 adds a path $P_5 = abcde$ and an edge xd to obtain T_{i+1} (see Fig. 1 (e));
- **Operation** O_7 : If $x \in V(T_i)$, then O_7 adds an extended spider headed at *a* with $k \ge 2$ feet and joins *x* to *a* for obtaining T_{i+1} (see Fig. 1 (f));
- **Operation** O_8 : If $x \in W_{T,i}^2$, then O_8 adds a pendant path *xdcba* to obtain T_{i+1} (see Fig. 1 (g)).
- **Operation** O_9 : If $x \in W_{T_i}^1$ and x is a strong support vertex, then O_9 adds a pendant path *xab* to obtain T_{i+1} (see Fig. 1 (h)).
- **Operation** O_{10} : If $x \in T_i$ is a support vertex and there is a pendant path $xx_3x_2x_1$, then O_{10} adds a pendant path *xabc* to obtain T_{i+1} (see Fig. 1 (i)).

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The proof of the first lemma is trivial.

Lemma 2.4. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_1 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Lemma 2.5. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_2 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. Let *f* be a γ_{r2} -function of T_i , we can obtain a 2RDF *f'* of T_{i+1} by letting f'(t) = f(t) for $t \in V(T_i)$, $f'(v) = \{1, 2\}$, $f'(u) = \emptyset$ for $u \in N(v)$. Hence $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 2$. On the other hand, let *S* be a $\gamma_t(T_{i+1})$ -set containing no leaves and let *v* be the central vertex of the star added by Operation O_2 . Then we have $v, c \in S$ and clearly $S - \{c, v\}$ is a subset of vertices such that each vertex $w \in V(T_i) - \{x\}$ has a neighbor in $S - \{v, c\}$. Since $x \in W_{T_i}^1$, we have $|S - \{v, c\}| \geq \gamma_t(T_i)$ and so $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 2$. Now the result follows from Observation 1.2. \Box

Lemma 2.6. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_3 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. By Observation 1.1, there exists a γ_{r2} -function f of T_i such that $f(z) = \{1\}$ and $2 \in f(x)$, now we can extend f to a 2RDF f' of T_{i+1} by letting $f'(a) = \{1\}$ and $f'(b) = \emptyset$. Hence we have $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 1$. On the other hand, if S is a $\gamma_t(T_{i+1})$ -set containing no leaves, then $y, x, b \in S$ and it follows that $S - \{b\}$ is a TDS of T_i yielding $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 1$. By Observation 1.2, we have $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$ as desired.

Lemma 2.7. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_4 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. By observation 1.2, it is enough to show that $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 2$ and $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 2$. Clearly any $\gamma_{r2}(T_i)$ -function f can be extended to a 2RDF of T_{i+1} by assigning {1} to a, \emptyset to b and {2} to c and this implies that $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 2$. Now let S be a $\gamma_t(T_{i+1})$ -set containing no leaves. Then we have $b, c, x, y \in S$ where y is the center of the star $K_{1,s}$. Then obviously $S - \{b, c\}$ is a TDS of T_i of size $\gamma_t(T_{i+1}) - 2$ and so $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 2$. This completes the proof. \Box

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Lemma 2.8. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_5 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. By observation 1.2, we need only to show that $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 1$ and $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 1$. By Observation 1.1, there exists a $\gamma_{r2}(T_i)$ -function f such that $f(x) = \{1\}$. Then the function $g: V(T_{i+1}) \rightarrow \mathcal{P}(\{1, 2\})$ defined by $g(a) = \{1\}$ and g(z) = f(z) for $z \in V(T_{i+1}) - \{a\}$ is a 2RDF of T_{i+1} of weight $\gamma_{r2}(T_i) + 1$ and so $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 1$.

Now let *S* be a $\gamma_t(T_{i+1})$ -set which contains no leaf. Then we must have $x, y, z \in S$ and clearly $S - \{x\}$ is a TDS of T_i . Therefore $\gamma_t(T_{i+1}) \ge \gamma_t(T_i) + 1$ and the proof is complete. \Box

Lemma 2.9. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_6 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. We show that $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 3$ and $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 3$. Let f be an arbitrary γ_{r2} -function of T_i and define $g: V(T_{i+1}) \rightarrow \mathcal{P}(\{1,2\} \text{ by } g(a) = g(e) = \{1\}, g(c) = \{2\}, g(b) = g(d) = \emptyset \text{ and } g(z) = f(z) \text{ for } z \in V(T_i).$ Clearly g is a 2RDF of T_{i+1} of weight $\gamma_{r2}(T_i) + 3$ and hence $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 3$.

Now let *S* be a $\gamma_t(T_{i+1})$ -set which contains no leaf. Then we have $b, c, d \in S$. It is not hard to see that $S' = S - \{b, c, d\}$ is a set of vertices of T_i such that any vertex $w \neq x$ has a neighbor in *S'*. Since $x \in W_{T_i}^1$ we have $|S'| \ge \gamma_t(T_i)$ and this implies that $\gamma_t(T_{i+1}) \ge \gamma_t(T_i) + 3$. Now the result follows by Observation 1.2. \Box

Lemma 2.10. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_7 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. Let $k \ge 2$ and T_1 be the extended spider with k feet added by Operation O_7 . Assume T_1 headed at a and its feet are $a_{i1}a_{i2}a_{i3}$ with $a_{i3}a \in E(T_1)$ for $1 \le i \le k$. Let f be a γ_{r2} -function of T_i , we can obtain a 2RDF f' of T_{i+1} by letting $f'(a) = \emptyset$, $f'(a_{i1}) = \{2\}$, $f'(a_{i2}) = \emptyset$ and $f'(a_{i3}) = \{1\}$ for $i = 1, 2, \ldots, k$. Hence $\gamma_{r2}(T_{i+1}) \le \gamma_{r2}(T_i) + 2k$. Now we show that $\gamma_t(T_i) \le \gamma_t(T_{i+1}) - 2k$. Let S be a $\gamma_t(T_{i+1})$ -set containing no leaves. Then we must have $a_{i2}, a_{i3} \in S$ for $i = 1, 2, \ldots, k$. If $a \notin S$, then $S' = S - \{a_{i2}, a_{i3} \mid i = 1, \ldots, k\}$ is a TDS of T_i of weight at most $\gamma_t(T_{i+1}) - 2k$ yielding $\gamma_t(T_i) \le \gamma_t(T_{i+1}) - 2k$. Suppose that $a \in S$. This implies that $u \notin S$ for each $u \in N(x) \setminus \{a\}$. Then $S' = (S - \{a, a_{i2}, a_{i3} \mid i = 1, \ldots, k\}) \cup \{u\}$ for each $u \in N(x) \setminus \{a\}$ is clearly a TDS of T_i of size at most $\gamma_{r2}(T_{i+1}) - 2k$. Thus $\gamma_t(T_i) \le \gamma_t(T_{i+1}) - 2k$. We now deduce from Observation 1.2 that $\gamma_{r2}(T_{i+1}) = \gamma_t(T_{i+1})$ and the proof is complete. \Box

Lemma 2.11. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_8 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. Let *f* be a γ_{r2} -function of T_i such that $f(x) \neq \emptyset$ (since $x \in W_T^2$, so such a function exists). Assume without loss of generality that $1 \in f(x)$. Then *f* can be extended to a 2RDF *f*' of T_{i+1} by letting $f'(a) = \{1\}$, $f'(b) = f'(d) = \emptyset$ and $f'(c) = \{2\}$. Then we have $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 2$.

On the other hand, let *S* be a $\gamma_t(T_{i+1})$ -set containing no leaves. Then we have $b, c \in S$. We claim that there exists a TDS *S'* of *G* of size at most |*S*| such that $b, c \in S'$ and $a, d \notin S'$. If $d \notin S$, then let S' = S. Assume that $d \in S$. This implies that $u \notin S$ for each $u \in N(x) \setminus \{d\}$. Now let $S' = (S - \{d\}) \cup \{u\}$ for some $u \in N(x) \setminus \{d\}$. Clearly *S'* is a TDS of T_{i+1} of size at most |*S*| satisfying our claim. Then $S' - \{b, c\}$ is a TDS of T_i of size at most $\gamma_{r2}(T_{i+1}) - 2$. This yields $\gamma_t(T_i) \leq |S'| - 2 \leq |S| - 2 = \gamma_t(T_{i+1}) - 2$. Therefore, $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 2$ and the result follows by Observation 1.2. \Box

Lemma 2.12. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_9 , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. Let *f* be a γ_{r2} -function of T_i that assigns {1,2} to each strong support vertex. We can obtain a 2RDF *f*' of T_{i+1} by letting f'(t) = f(t) for $t \in V(T_i)$, $f'(b) = \{1\}$, $f'(a) = \emptyset$. Hence $\gamma_{r2}(T_{i+1}) \leq \gamma_{r2}(T_i) + 1$. Now let *S* be a $\gamma_t(T_{i+1})$ -set containing no leaves. Then we must have $x, a \in S$ and clearly $S - \{a\}$ is a set of vertices of T_i such that each vertex $w \in V(T_i) - \{x\}$ has a neighbor in $S - \{a\}$. Since $x \in W_{T_i}^1$, we have $|S - \{a\}| \geq \gamma_t(T_i)$ and so $\gamma_t(T_{i+1}) \geq \gamma_t(T_i) + 1$. Now the result follows from Observation 1.2. \Box

Lemma 2.13. If T_i is a tree with $\gamma_t(T_i) = \gamma_{r2}(T_i)$ and T_{i+1} is a tree obtained from T_i by Operation O_{10} , then $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$.

Proof. Assume *S* is an arbitrary $\gamma_t(T_{i+1})$ -set containing no leaves. Then we have $a, b, x_3, x_2, x \in S$ and clearly $S - \{a, b\}$ is a TDS of T_i yielding $\gamma_t(T_{i+1}) \ge \gamma_t(T_i) + 2$. On the other hand, any $\gamma_{r2}(T_i)$ -function can be extended to a 2RDF of T_{i+1} by assigning {1} to a, {2} to c and \emptyset to b, and hence $\gamma_{r2}(T_{i+1}) \le \gamma_{r2}(T_i) + 2$. Thus $\gamma_t(T_{i+1}) = \gamma_{r2}(T_{i+1})$ by Observation 1.2. \Box

Theorem 2.14. If $T \in \mathcal{T}$, then $\gamma_{r2}(T) = \gamma_t(T)$.

Proof. Obviously, if *T* is P_2 or P_3 , then $\gamma_{r2}(T) = \gamma_t(T)$. Now assume that $T \in \mathcal{T}$, then there exists a sequence of trees T_1, T_2, \ldots, T_k ($k \ge 1$) such that T_1 is P_2 or P_3 , and if $k \ge 2$, then T_{i+1} can be obtained recursively from T_i by Operation O_1, O_2, \ldots, O_{10} for $i = 1, 2, \ldots, k - 1$. We apply induction on the number of operations performed to construct *T*. It can be seen that if k = 1, the result holds. Suppose that the result holds for each tree $T \in \mathcal{T}$ which can be obtained from a sequence of operations of length k - 1 and let $T' = T_{k-1}$. By the induction hypothesis, we have $\gamma_{r2}(T') = \gamma_t(T')$. Since $T = T_k$ is obtained by one of the Operations O_1, O_2, \ldots, O_{10} from T', we conclude from above Lemmas that $\gamma_{r2}(T) = \gamma_t(T)$. \Box

Observation 2.15. If *T* is a double star, then $\gamma_{r2}(T) \neq \gamma_t(T)$.

Theorem 2.16. Let *T* be a tree of order $n \ge 2$. Then $\gamma_{r2}(T) = \gamma_t(T)$ if and only if $T \in \mathcal{T}$.

Proof. The sufficiency follows from Theorem 2.14. In order to prove the necessity we proceed by induction on *n*. If n = 2, 3, then the only trees *T* of order 2, 3 and $\gamma_{r2}(T) = \gamma_t(T)$ are $P_2, P_3 \in \mathcal{T}$. Let $n \ge 4$ and let the statement holds for all trees of order less than *n*. Assume that *T* is a tree of order *n* with $\gamma_{r2}(T) = \gamma_t(T)$. If diam(T) = 2 then *T* is a star and *T* can be obtained from P_3 by applying Operation O_1 and so $T \in \mathcal{T}$. Let diam $(T) \ge 3$. By Observation 2.15, we have diam $(T) \ge 4$.

Let $v_1v_2...v_k$ ($k \ge 5$) be a diametral path in T such that $|L_{v_2}|$ is as large as possible and root T at v_k . Also suppose among paths with this property we choose a path such that $|L_{v_3}|$ is as large as possible. We consider two cases.

Case 1. $\deg(v_2) \ge 3$.

We claim that $deg(v_3) = 2$. Assume, to the contrary, that $deg(v_3) \ge 3$. We distinguish four subcases.

Subcase 1.1. v_3 is a strong support vertex or is adjacent to a strong support vertex other than v_2, v_4 . Let $T' = T - T_{v_2}$. Then any $\gamma_t(T')$ -set containing no leaves contains v_3 and such a $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_2 and so $\gamma_t(T) \leq \gamma_t(T') + 1$. Suppose now f is a $\gamma_{r2}(T)$ -function. We may assume that f assigns {1, 2} to each strong support vertex. Hence the function f, restricted to T' is a 2RDF and so $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 2$. Thus $\gamma_t(T') + 2 \leq \gamma_{r2}(T') + 2 \leq \gamma_{r2}(T) = \gamma_t(T) \leq \gamma_t(T') + 1$ which is a contradiction.

Subcase 1.2. v_3 is adjacent to a support vertex of degree 2 other than v_4 . Let $T' = T - T_{v_2}$. As above we have $\gamma_t(T) \le \gamma_t(T') + 1$. Let f be a $\gamma_{r2}(T)$ -function that assigns {1, 2} to each strong support vertex. By Observation 1.1, we may assume that $f(v_3) \ne \emptyset$. Then the function f, restricted to T' is a 2RDF and so $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 2$. Now we get a contradiction as above.

Subcase 1.3. deg(v_3) = 3 and v_3 is adjacent to a leaf u.

Let $T' = T - T_{v_3}$. Then any $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_3, v_2 and so $\gamma_t(T) \le \gamma_t(T') + 2$. Let f be a $\gamma_{r2}(T)$ -function that assigns {1,2} to each strong support vertex. Clearly $|f(v_3)| + |f(u)| \ge 1$. If $|f(v_3)| + |f(u)| \ge 2$, then the function $g : V(T') \to \mathcal{P}(\{1,2\})$ defined by $g(v_4) = \{1\} \cup f(v_4)$ and g(z) = f(z) for $z \in V(T') - \{v_4\}$ is a 2RDF of T' of weight $\omega(f) - 3$ and so $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 3$. If $|f(v_3)| + |f(u)| = 1$, then clearly $f(v_3) = \emptyset$ and the function f, restricted to T' is a 2RDF of T' of weight $\omega(f) - 3$ and so $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 3$. Thus $\gamma_t(T') + 3 \le \gamma_{r2}(T') + 3 \le \gamma_{r2}(T) = \gamma_t(T) \le \gamma_t(T') + 2$ which is a contradiction.

Thus deg(v_3) = 2. Assume that $T' = T - T_{v_3}$. Let f be a $\gamma_{r2}(T)$ -function that assigns {1, 2} to each strong support vertex. Then the function $g : V(T') \rightarrow \mathcal{P}(\{1,2\})$ defined by $g(v_4) = f(v_3) \cup f(v_4)$ and g(z) = f(z) for $z \in V(T') - \{v_4\}$ is a 2RDF of T' of weight $\omega(f) - 2$ and so $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 2$. On the other hand, any $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_3, v_2 and so $\gamma_t(T) \le \gamma_t(T') + 2$. It follows from Observation 1.3 that $\gamma_{r2}(T') = \gamma_t(T')$ and by the induction hypothesis we have $T' \in \mathcal{T}$. Now we show that $v_4 \in W_{T'}^1$. Assume, to

the contrary, that $\gamma_t(T', v_4) < \gamma_t(T')$. Let $S \subseteq V(T')$ be a set of vertices of size $\gamma_t(T', v_4)$ such that each vertex $w \in V(T') - \{v_4\}$ has a neighbor in S. Then $S \cup \{v_2, v_3\}$ is a total dominating set of T of size less than $\gamma_t(T)$ which is a contradiction. Thus $v_4 \in W_{T'}^1$ and so $T \in \mathcal{T}$ since it can be obtained from T' by Operation O_2 .

Case 2.
$$deg(v_2) = 2$$
.

By the choice of diametral path, we may assume that every end-support vertex on a diametral path has degree 2. In particular, $deg(v_{k-1}) = 2$. We consider the following subcases.

Subcase 2.1. deg $(v_3) \ge 3$ and there is a pendant path $v_3 z_2 z_1$ in T where $z_2 \notin \{v_2, v_4\}$.

Then deg(z_2) = 2 and deg(z_1) = 1. Let $T' = T - T_{v_2}$. Clearly any $\gamma_t(T')$ -set containing no leaf can be extended to a TDS of T by adding v_2 and so $\gamma_t(T) \leq \gamma_t(T') + 1$. Applying Observation 1.1, it is easy to see that $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 1$ and so $\gamma_t(T') = \gamma_{r2}(T')$ by Observation 1.3. It follows from the induction hypothesis that $T' \in \mathcal{T}$. Now since T can be obtained from T' by Operation O_3 , we deduce that $T \in \mathcal{T}$.

Subcase 2.2. deg(v_3) \ge 4 and all neighbors of v_3 with exception v_2 , v_4 , are leaves.

Let $T' = T - T_{v_2}$. Suppose f is a $\gamma_{r2}(T)$ -function that assigns $\{1,2\}$ to each strong support vertex. By Observation 1.1, we may assume that $f(v_1) = \{1\}$. Then the function f, restricted to T' is a 2RDF of T' of weight at most $\omega(f) - 1$ and so $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 1$. On the other hand, any $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_2 and so $\gamma_t(T) \le \gamma_t(T') + 1$. By Observation 1.3, we obtain $\gamma_t(T') = \gamma_{r2}(T')$. It follows from the induction hypothesis that $T' \in \mathcal{T}$. Next we show that $v_3 \in W_{T'}^1$. Assume, to the contrary, that $\gamma_t(T', v_3) < \gamma_t(T')$ and let $S \subseteq V(T')$ be a set of vertices of T' of size $\gamma_t(T', v_3)$ such that each vertex $w \in V(T') - \{v_3\}$ has a neighbor in S. We note that $v_3 \in S$. Then $S \cup \{v_2\}$ is a total dominating set of T of size less than $\gamma_t(T)$ which is a contradiction. Thus $v_3 \in W_{T'}^1$ and so T can be obtained from T' by Operation O_9 . Therefore, $T \in \mathcal{T}$.

Subcase 2.3. deg(v_3) = 3 and v_3 is adjacent to a leaf u. Since deg(v_{k-1}) = 2, we have diam(T) \geq 5. We show that this case is impossible. Consider the following.

• $\deg(v_4) = 2$.

Let $T' = T - T_{v_4}$. Clearly, any $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_2 , v_3 and hence $\gamma_t(T) \le \gamma_t(T') + 2$. On the other hand, if f is a $\gamma_{r2}(T)$ -function, then obviously $|f(u)| + |f(v_3)| + |f(v_2)| + |f(v_1)| \ge 3$ and the function $g: V(T') \to \mathcal{P}(\{1,2\})$ defined by $g(v_5) = f(v_5) \cup f(v_4)$ and g(z) = f(z) for $z \in V(T') - \{v_5\}$, is a 2RDF of T' of weight at most $\gamma_{r2}(T) - 3$. Therefore

$$\gamma_t(T) = \gamma_{r2}(T) \ge \gamma_{r2}(T') + 3 > \gamma_t(T') + 2 \ge \gamma_t(T)$$

which is a contradiction.

• *v*⁴ is a strong support vertex.

Let $T' = T - T_{v_3}$. Clearly, any $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_2, v_3 and the restriction of any $\gamma_{r2}(T)$ -function assigning {1,2} to each strong support vertex to T', is a 2RDF of T' of weight at most $\gamma_{r2}(T) - 3$. Therefore $\gamma_t(T) \le \gamma_t(T') + 2$ and $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 3$ and we get a contradiction as above.

- v_4 is adjacent to an end support vertex. Let $T' = T - T_{v_3}$. It is not hard to see that $\gamma_t(T) \le \gamma_t(T') + 2$ and $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 3$ and this leads to a contradiction.
- v_4 has a neighbor z_3 other than v_3 , v_5 such that $T_{z_3} = T_{v_3}$. Let $T' = T - T_{v_3}$. As above we have $\gamma_t(T) \leq \gamma_t(T') + 2$. Now let f be a $\gamma_{r2}(T)$ -function. Then obviously $\sum_{z \in V(T_{v_3})} |f(z)| \geq 3$ and $\sum_{z \in V(T_{z_3})} |f(z)| \geq 3$. Define $g : V(T') \rightarrow \mathcal{P}(\{1, 2\})$ by $g(v_1) = \{1\}, g(v_3) = \{1, 2\}, g(v_2) = g(u) = \emptyset$ and g(z) = f(z) for $z \in V(T')$. It is easy to see that g is a $\gamma_{r2}(T)$ -function and the restriction of g to T' is a 2RDF of T' of weight at most $\gamma_{r2}(T) - 3$. Thus $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 3$ and we obtain a contradiction as above.
- deg(v₄) = 3 and v₄ is adjacent to a leaf w where w ≠ v₅.
 Let T' = T − T_{v₄}. Clearly any γ_t(T')-set can be extended to a TDS of T by adding v₂, v₃, v₄ and hence

 $\gamma_t(T) \leq \gamma_t(T') + 3$. Now let *f* be a $\gamma_{r2}(T)$ -function. It is easy to verify that $\sum_{z \in V(T_{v_4})} |f(z)| \geq 5$ when $f(v_4) \neq \emptyset$. Define $g: V(T') \rightarrow \mathcal{P}(\{1, 2\})$ by g = f when $f(v_4) = \emptyset$ and by $g(v_5) = f(v_5) \cup \{1\}$ and g(z) = f(z) for $z \in V(T') - \{v_5\}$. It is easy to see that *g* is a 2RDF of *T'* of weight at most $\gamma_{r2}(T) - 4$ and this implies that $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 4$. This leads to a contradiction as above.

- deg(v₄) = 3 and there is a pendant path v₄z₃z₂z₁ where z₃ ≠ v₅. Let T' = T − T_{v₄}. Clearly any γ_t(T')-set can be extended to a TDS of T by adding v₂, v₃, z₃, z₂ and hence γ_t(T) ≤ γ_t(T') + 4. Now let f be a γ_{r2}(T)-function. It is easy to see that ∑_{z∈V(Tv₃)} |f(z)| ≥ 3 and ∑_{z∈V(Tv₃)} |f(z)| ≥ 2. Define g : V(T') → P({1, 2}) by g(v₅) = f(v₅)∪f(v₄) and g(z) = f(z) for z ∈ V(T')-{v₅}. It is easy to see that g is a 2RDF of T' of weight at most γ_{r2}(T) − 5 and so γ_{r2}(T) ≥ γ_{r2}(T') + 5. Again we get a contradiction.
- There are two pendant paths $v_4z_3z_2z_1$ and $v_4y_3y_2y_1$ where $v_5 \notin \{y_3, z_3\}$.
- Let $T' = T T_{v_3}$. Clearly $\gamma_t(T) \leq \gamma_t(T') + 2$. Now let f be a $\gamma_{r2}(T)$ -function. It is easy to see that $\sum_{z \in V(T_{v_3})} |f(z)| \geq 3$, $\sum_{z \in V(T_{y_3})} |f(z)| \geq 2$ and $\sum_{z \in V(T_{z_3})} |f(z)| \geq 2$. Define $g : V(T) \to \mathcal{P}(\{1, 2\})$ by $g(y_1) = \{1\}, g(y_3) = \{2\}, g(z_1) = \{2\}, g(z_3) = \{1\}, g(y_2) = g(z_2) = \emptyset$ and g(z) = f(z) otherwise. It is easy to see that g is a $\gamma_{r2}(T)$ -function and the function g restricted to T' is a 2RDF of T' of weight at most $\gamma_{r2}(T) 3$. Hence $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 3$ and we get a contradiction again.

Considering Subcases 2.1, 2.2 and 2.3, we may assume that $\deg(v_3) = 2$. If there exists a path $v_4z_3z_2z_1$ where $z_4 \notin \{v_3, v_5\}$ in T, then by the choice of diametral path, we have $\deg(z_3) = \deg(z_2) = 2$. If $\operatorname{diam}(T) = 4$, then $T = P_5$ and $T \in \mathcal{T}$ since it can be obtained from P_3 by Operation O_3 . Hence, we assume that $\operatorname{diam}(T) \ge 5$. We proceed with more cases.

Subcase 2.4. $deg(v_4) = 2$.

Let $T' = T - T_{v_4}$. Clearly, every $\gamma_t(T')$ -set can be extended to a TDS of T by adding the vertices v_2, v_3 and so $\gamma_t(T) \leq \gamma_t(T') + 2$. Next we show that $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 2$. Let f be a $\gamma_{r2}(T)$ -function. By Observation 1.1, we may assume that $f(v_1) = \{1\}$ and $2 \in f(v_3)$. If $f(v_3) = \{1, 2\}$, then define $g : V(T') \rightarrow \mathcal{P}(\{1, 2\})$ by $g(v_5) = f(v_5) \cup \{1\}$ and g(z) = f(z) for $z \in V(T') - \{v_5\}$, and if $f(v_3) = \{2\}$, then define $g : V(T') \rightarrow \mathcal{P}(\{1, 2\})$ by $g(v_5) = f(v_5) \cup f(v_4)$ and g(z) = f(z) for $z \in V(T') - \{v_5\}$. Obviously, g is a 2RDF of T' of weight $\omega(f) - 2$ and so $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 2$. It follows from Observation 1.3 that $\gamma_{r2}(T') = \gamma_t(T')$ and hence $T' \in \mathcal{T}$. Now, we show that $v_5 \in W_{T'}^2$. Let f be a $\gamma_{r2}(T)$ -function and assume that $f(v_1) = \{1\}$ and $2 \in f(v_3)$. If $\sum_{i=1}^4 |f(v_i)| \geq 3$, then the function g defined above, is a $\gamma_{r2}(T')$ -function with $g(v_5) \neq \emptyset$. If $\sum_{i=1}^4 |f(v_i)| = 2$, then we must have $f(v_1) = \{1\}, f(v_3) = \{2\}, f(v_2) = f(v_4) = \emptyset$ and to rainbowly dominate v_4 , we must have $f(v_5) = \{1\}$. Thus the function f, restricted to T' is a $\gamma_{r2}(T')$ -function with $f(v_5) \neq \emptyset$. Thus $v_5 \in W_{T'}^2$ and since T can be obtained from T' by Operation O_8 , we obtain that $T \in \mathcal{T}$.

Subcase 2.5. *v*⁴ is a strong support vertex.

Let $T' = T - v_1$. Then any $\gamma_t(T')$ -set containing no leaves can be extended to a TDS of T by adding v_2 and so $\gamma_t(T) \leq \gamma_t(T') + 1$. Now we show that $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 1$. Let f be a $\gamma_{r2}(T)$ -function that assigns {1,2} to each strong support vertex. By Observation 1.1, we may assume that $f(v_1) = 1$ and $2 \in f(v_3)$. Then the function $g : V(T') \rightarrow \mathcal{P}(\{1,2\})$ defined by $g(v_2) = \{1\}, g(v_3) = \emptyset$ and g(z) = f(z) for $z \in V(T') - \{v_2, v_3\}$ is a 2RDF of T' of weight $\omega(f) - 1$ and so $\gamma_{r2}(T) \geq \gamma_{r2}(T') + 1$. By Observation 1.3, $\gamma_t(T') = \gamma_{r2}(T')$ and by the induction hypothesis on T' we have $T' \in \mathcal{T}$. Therefore $T \in \mathcal{T}$, since it is obtained from T' by Operation O_5 .

Subcase 2.6. v_4 is adjacent to a support vertex y. Then clearly the depth of y is 1. Let $T' = T - T_{v_3}$. It is not hard to see that $\gamma_t(T) = \gamma_t(T') + 2$ and $\gamma_{r2}(T) = \gamma_{r2}(T') + 2$. This yields $\gamma_{r2}(T') = \gamma_t(T')$ and hence $T' \in \mathcal{T}$. Now T can be obtained from T' by Operation O_4 .

Subcase 2.7. deg(v_4) ≥ 4 and v_4 is a support vertex.

By Cases 6,7 and 4, we may assume that v_4 is adjacent to exactly one leaf, say u, and that there exists a pendant path $v_4z_3z_2z_1$ in T where $z_3 \notin \{v_3, v_5\}$. Let $T' = T - T_{v_3}$. Clearly any $\gamma_t(T')$ -set containing no leaves can be extended to a TDS of T by adding v_2 , v_3 and so $\gamma_t(T) \leq \gamma_t(T') + 2$. Now we show that $\gamma_{r_2}(T) \geq \gamma_{r_2}(T') + 2$. Let f be a $\gamma_{r_2}(T)$ -function. By Observation 1.1, we may assume that $f(v_1) = f(z_1) = \{1\}, 2 \in f(v_2)$ and

 $2 \in f(z_2)$. If $f(v_4) \neq \emptyset$, then the function f, restricted to T' is a 2RDF of T of weight $\omega(f) - 2$. Assume that $f(v_4) = \emptyset$. Then we may assume without loss of generality that $f(u) = \{1\}$. Again the function f, restricted to T' is a 2RDF of T of weight $\omega(f) - 2$. Thus $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 2$, and we deduce from Observation 1.3 that $\gamma_{r2}(T') = \gamma_t(T')$. By the induction hypothesis on T', we have $T' \in \mathcal{T}$. Therefore $T \in \mathcal{T}$, since it is obtained from T' by Operation O_{10} .

Subcase 2.8. deg $(v_4) \ge 3$ and v_4 is not a support vertex. Considering Case 6, we may assume that T_{v_4} is an extended spider where v_4 is the head of spider. Let $T' = T - T_{v_4}$ and let deg $(v_4) = t + 1$. Clearly any $\gamma_t(T')$ -set can be extended to a TDS of T by adding all support vertices of T_{v_4} and all neighbors of v_4 with exception v_5 implying that $\gamma_t(T) \le \gamma_t(T') + 2t$. Now we show that $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 2t$. Let f be a $\gamma_{r2}(T)$ -function. By Observation 1.1, we may assume that f assigns {1} to all leaves of T_{v_4} and {2} to all neighbors of v_4 in T_{v_4} . Then the function $g : V(T') \rightarrow \mathcal{P}(\{1,2\})$ defined by $g(v_5) = f(v_5) \cup f(v_4)$ and g(z) = f(z) for $z \in V(T') - \{v_5\}$ is a 2RDF of T of weight at most $\omega(f) - 2t$ and this implies that $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 2t$. It follows from Observation 1.3 that $\gamma_{r2}(T') = \gamma_t(T')$ and by the induction hypothesis we have $T' \in \mathcal{T}$. Now $T \in \mathcal{T}$, since it can be obtained from T' by Operation O_7 .

Subcase 2.9. deg(v_4) = 3 and v_4 is adjacent to a leaf, say w.

Let $T' = T - T_{v_4}$. First we show that $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 3$. Let f be a $\gamma_{r2}(T)$ -function. By Observation 1.1, we may assume that $f(v_1) = \{1\}$ and $2 \in f(v_3)$. If $f(v_4) = \emptyset$, then $|f(w)| \ge 1$ and the function f, restricted to T' is a 2RDF of T' of weight $\omega(f) - 3$. Assume that $f(v_4) \ne \emptyset$. Then we have $|f(v_4)| + |f(w)| \ge 2$ and the function $g : V(T') \rightarrow \mathcal{P}(\{1, 2\})$ defined by $g(v_5) = f(v_5) \cup \{1\}$ and g(z) = f(z) for $z \in V(T') - \{v_5\}$ is a 2RDF of T' of weight at most $\omega(f) - 3$. This implies that $\gamma_{r2}(T) \ge \gamma_{r2}(T') + 3$. On the other hand, any $\gamma_t(T')$ -set can be extended to a TDS of T by adding v_2, v_3, v_4 and so $\gamma_t(T) \le \gamma_t(T') + 3$. It follows from Observation 1.3 that $\gamma_t(T') = \gamma_{r2}(T')$ and by the induction hypothesis we have $T' \in \mathcal{T}$. Next we show that $v_5 \in W_{T'}^1$. Assume, to the contrary, that $\gamma_t(T', v_5) < \gamma_t(T')$. Let $S \subseteq V(T')$ be a set of vertices of T' of size $\gamma_t(T', v_5)$ such that each vertex $w \in V(T') - \{v_5\}$ has a neighbor in S. Then $S \cup \{v_2, v_3, v_4\}$ is a total dominating set of T of weight less than $\gamma_t(T)$ which is a contradiction. Thus $v_5 \in W_{T'}^1$ and so $T \in \mathcal{T}$, since it can be obtained from T' by Operation \mathcal{O}_6 . This completes the proof. \Box

Acknowledgment

This work is supported by the National Key Research and Development Program under grant 2017YFB0802300, the National Natural Science Foundation of China under the grant 11361008, and Applied Basic Research (Key Project) of Sichuan Province under grant 2017JY0095, the National Natural Science Foundation of China under grant 61572115, and Key Foundamental Leading Project of Sichuan Province under grant 2016JY0007.

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